



TRINIDAD AND TOBAGO GAZETTE

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SUPPLEMENT TO THIS ISSUE

THE DOCUMENTS detailed hereunder have been issued and are published as a Supplement to this issue of the *Trinidad and Tobago Gazette*:

Legal Supplement Part B—

- Bills of Sale (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 137 of 2024).
- Cinematograph (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 138 of 2024).
- Companies (Waiver of Penalties) (No. 2) Order, 2024—(Legal Notice No. 139 of 2024).
- Licensing of Dealers (Precious Metals and Stones) (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 140 of 2024).
- Moneylenders (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 141 of 2024).
- Pawnbrokers (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 142 of 2024).
- Scrap Metal (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 143 of 2024).
- Registration of Clubs (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 144 of 2024).
- Theatres and Dance Halls (Extension of Time) (No. 2) Order, 2024—(Legal Notice No. 145 of 2024).

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APPOINTMENT TO ACT AS MINISTER OF TRADE AND INDUSTRY

IT IS HEREBY NOTIFIED for general information that His Excellency the Acting President, acting in accordance with the advice of the Acting Prime Minister, in exercise of the power vested in him by section 79(2) of the Constitution of the Republic of Trinidad and Tobago, has appointed DR. AMERY BROWNE, a member of the Senate who is a Minister, to act in the office of Senator the Honourable PAULA GOPEE-SCOON, Minister of Trade and Industry, with effect from 1st August, 2024 and continuing during the absence from Trinidad and Tobago of the said Senator the Honourable Paula Gopee-Scoon, in addition to the discharge of his normal duties.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
the President*

31st July, 2024.

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APPOINTMENT OF COMMISSIONERS OF TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

NOTICE is hereby given that in accordance with the provisions of Sections 10 (2) and 12 (1) of the Securities Act Chap. 83:02, of the Laws of the Republic of Trinidad and Tobago, that the following persons were appointed as Commissioners to the Board of the Trinidad and Tobago Securities and Exchange Commission ("the Commission"), effective from 10th June, 2024, for a term of three (3) years.

Mr. CHRISTOPHER L. SANDY	Commissioner
Ms. MARLENE MURRAY	Commissioner

Dated this 2nd day of July, 2024.

A. SYLVESTER-ANTOINE
Corporate Secretary

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

The Trinidad and Tobago Securities and Exchange Commission (“the Commission”) is responsible for the oversight of the securities industry ensuring that it operates in a fair, transparent and efficient manner in order to protect the rights of investors.

The Commission regulates the securities market by registering all securities that are distributed to the public, all issuers of those securities, and market intermediaries directly involved in the issuance, offering and sale of those securities e.g., *Broker-Dealers, Investment Advisers, Underwriters, and Self-Regulatory Organisations*. Certain employees or officers of Broker-Dealers, Investment Advisers and Underwriters are also required to be registered with the Commission as Registered Representatives.

HOW DOES REGISTERING WITH THE COMMISSION PROTECT INVESTORS?

The registration process requires all registrants (issuers of securities as well as market intermediaries such as *Broker-Dealers, Investment Advisers, and Underwriters*) to provide the Commission with pertinent information regarding the registrant itself (such as background information on its operations, management and financial position) as well as any securities that the registrant intends to distribute. **The Commission ensures that the information meets the requirements of the law and is available to the public.**

Firms and individuals are registered by category – each registration category attracts different educational, experience, and financial requirements, and permits the registrant to conduct different activities. **The Commission ensures that the companies are genuine entities with a registered office, staffed by qualified professionals who possess the knowledge, skills and experience to conduct the class of business for which the companies are being registered.**

WHAT ARE THE QUALIFICATIONS FOR REGISTRATION?

Registration will not be granted unless the Commission is satisfied that an applicant is fit and proper, meets the requirement of the law with respect to capital and educational qualifications, and discloses any information that may affect the conduct and integrity of the applicant’s business. This information is also available for public viewing at the Commission.

The provision of information does not end after the initial registration. Registrants are required to continuously provide the Commission, as well as investors, with information inclusive of changes which may positively or negatively impact the performance of their business and the securities available to investors.

IS REGISTRATION AN ENDORSEMENT?

While we advise investors to deal only with registrants that are registered with the Commission, **registration with the Commission is NOT an endorsement of the registrant or of any security. The Commission provides neither guarantee nor opinion on the performance of a registrant or security.**

Always remember, even though you are dealing with someone who is registered with the Commission, you should evaluate the registrant and the securities being offered and if necessary, seek independent financial advice before making an investment decision.

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024**DEFINITION OF TERMS**

- ***Broker-Dealer***
A firm acting as an intermediary between a buyer and a seller of securities, usually for a fee or a commission. When acting as a broker, a Broker-Dealer executes orders on behalf of their client. When acting as a dealer, a Broker-Dealer executes trades for the firm's own account.
- ***Investment Adviser***
An individual or firm that advises clients on investment in, or the purchase or sale of securities on a professional basis.
- ***Underwriter***
A company that arranges for the issuance or distribution of securities and/or agrees to purchase any unsold securities thereby guaranteeing subscription either in full or in part.
- ***Registered Representative***
An employee or officer of a registered Broker-Dealer, Investment Adviser or Underwriter who liaises with members of the public on behalf of their employers further to the offering, distribution or sale of securities.
- ***Sponsored Broker-Dealer or Sponsored Investment Adviser***
An individual who is employed by a Brokerage or Investment firm from a foreign jurisdiction. This individual aligns with a local Broker-Dealer or Investment Adviser who sponsors that individual's registration with the Commission. A Sponsored Broker-Dealer or Sponsored Investment Adviser that is registered with the Commission can conduct securities business in Trinidad and Tobago for a maximum of ninety (90) days in a calendar year.
- ***Reporting Issuer***
A corporation that has distributed or proposes to distribute securities to the public and is subject to the continuous disclosure requirements of the Commission.
- ***Self-Regulatory Organisation***
A non-governmental organisation that has the power to create and enforce industry regulations and standards. The priority is to protect investors through the establishment of rules that promote ethics and equality.

In accordance with the requirements of Section 52(8) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, the Commission provides the undermentioned list of its Registrants and Self-Regulatory Organisations as at 31st March 2024.

WANT TO KNOW MORE?

For additional information, you are invited to contact, the Trinidad and Tobago Securities and Exchange Commission, at (868) 624-2991, or visit our website at www.ttsec.org.tt.

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>BROKER-DEALERS</u>	
1.	Admiral Limited
2.	Ansa Merchant Bank Limited
3.	Ansa Securities Limited
4.	B3V Holdings Limited
5.	Bourse Brokers Limited
6.	Bourse Securities Limited
7.	Capital Markets Elite Group (Trinidad and Tobago) Limited
8.	Caribbean Stockbrokers Limited
9.	Citicorp Merchant Bank Limited
10.	Development Finance Limited
11.	FigTree Financial (T&T) Limited
12.	First Citizens Bank Limited
13.	First Citizens Brokerage and Advisory Services Limited
14.	First Citizens Depository Services Limited
15.	First Citizens Investment Services Limited
16.	First Citizens Portfolio and Investment Management Services Limited
17.	FirstCaribbean International Bank (Trinidad & Tobago) Limited
18.	Firstline Securities Limited
19.	Guardian Asset Management and Investment Services Limited
20.	Guardian Group Trust Limited
21.	JMMB Bank (T&T) Limited
22.	JMMB Investments (Trinidad and Tobago) Limited
23.	JMMB Securities (T&T) Limited
24.	KCL Capital Market Brokers Limited
25.	KSBM Asset Management Limited
26.	Maritime Capital Limited
27.	Massy Finance GFC Ltd.
28.	Murphy Clarke Financial Limited
29.	NCB Merchant Bank (Trinidad and Tobago) Limited
30.	RBC Investment Management (Caribbean) Limited
31.	RBC Royal Bank (Trinidad and Tobago) Limited
32.	Republic Bank Limited
33.	Republic Wealth Management Limited
34.	Sagicor Investments Trinidad & Tobago Limited
35.	Sagicor Life Incorporated
36.	Scotia Investments (Trinidad and Tobago) Limited
37.	Scotiabank Trinidad and Tobago Limited
38.	Sheppard Securities Limited
39.	Sheppard Stockbrokers Limited
40.	The Home Mortgage Bank
41.	Trinidad and Tobago Unit Trust Corporation
42.	Waterloo Capital Advisors Limited
43.	West Indies Stockbrokers Limited

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024**INVESTMENT ADVISERS**

1.	Aspire Fund Management Company Limited
2.	FCL Financial Limited
3.	Guardian Life of the Caribbean Limited
4.	Mondial (Trinidad) Limited
5.	SEAF Caribbean Management LLC
6.	SHBL Investments Company Limited
7.	Williams, Aldon Philip

UNDERWRITERS

1.	RBC Merchant Bank (Caribbean) Limited
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REGISTERED REPRESENTATIVES

	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
1.	Abdool, Amani	First Citizens Portfolio and Investment Management Services Limited	Advising
2.	Achong, Sean	Ansa Merchant Bank Limited	Brokering
3.	Adam, Karen	Sagcor Investments Trinidad & Tobago Limited	Associate
4.	Akan, Myrnelle	SHBL Investments Company Limited	Advising
5.	Albert, Joanne	First Citizens Investment Services Limited	Advising
6.	Alexander, Liesel	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
7.	Alexander, Liesel	Aspire Fund Management Company Limited	Advising
8.	Alexander, Ria	Scotiabank Trinidad and Tobago Limited	Brokering
9.	Alexander, Sharon	First Citizens Bank Limited	Brokering
10.	Alfred-Pierre, Annissa	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
11.	Ali, Christopher	First Citizens Bank Limited	Brokering
12.	Ali, Ishmael	Ansa Merchant Bank Limited	Associate
13.	Ali, Ishmael	Ansa Securities Limited	Associate
14.	Ali, Salahudeen	Maritime Capital Limited	Brokering
15.	Ali, Salma	West Indies Stockbrokers Limited	Brokering
16.	Ali, Sarfraz	Scotiabank Trinidad and Tobago Limited	Associate

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<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
17.	Ali-Manoo, Anisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
18.	Alleyne, Candice	Scotiabank Trinidad and Tobago Limited	Associate
19.	Alleyne, Harold	Caribbean Stockbrokers Limited	Advising, Brokering and Underwriting
20.	Alleyne, Joshua	Sheppard Securities Limited	Associate
21.	Alleyne, Joshua	Sheppard Stockbrokers Limited	Associate
22.	Andrews, Schubert	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
23.	Aparicio-Owen, Talia	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
24.	Ashby, Lyndelle	KCL Capital Market Brokers Limited	Advising
25.	Ashton, Chevorn	Guardian Asset Management and Investment Services Limited	Advising
26.	Assing, Ryan	Scotiabank Trinidad and Tobago Limited	Brokering
27.	Atwell, Amrika	First Citizens Bank Limited	Brokering
28.	Atwell, Gabrielle	First Citizens Bank Limited	Brokering
29.	Austin, Kirby	JMMB Investments (Trinidad and Tobago) Limited	Advising
30.	Austin, Marsha	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
31.	Avey, Richard	Guardian Life of the Caribbean Limited	Advising
32.	Awai, Gary	Development Finance Limited	Advising, Brokering and Underwriting
33.	Baal, Rima	First Citizens Bank Limited	Brokering
34.	Babb, Tammie	FCL Financial Limited	Advising
35.	Baiju, Kirsten	Sheppard Securities Limited	Associate
36.	Baiju, Kirsten	Sheppard Stockbrokers Limited	Associate
37.	Bain, Asha	Guardian Asset Management and Investment Services Limited	Associate
38.	Balgobin, Robert	KSBM Asset Management Limited	Advising and Brokering
39.	Balliram-Harripersad, Sharda	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
40.	Balraj, Ronnie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
41.	Bando-Collins, Judith	Republic Bank Limited	Brokering and Underwriting

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42.	Baptiste, Tamara	RBC Merchant Bank (Caribbean) Limited	Underwriting
43.	Basdeo, Anderson	Citicorp Merchant Bank Limited	Brokering
44.	Basdeo, Roshan	JMMB Securities (T&T) Limited	Associate
45.	Bassant, Terrence	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
46.	Bayne, Ryan	Sheppard Securities Limited	Advising
47.	Beachoo, Dana	Waterloo Capital Advisors Limited	Brokering
48.	Beharry, Amanda	First Citizens Investment Services Limited	Advising
49.	Beharrylal, Roshni	Scotiabank Trinidad and Tobago Limited	Associate
50.	Belmontes, Jesse	Scotiabank Trinidad and Tobago Limited	Associate
51.	Bernard-Cardinal, Rachel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
52.	Bhagan, Candice	West Indies Stockbrokers Limited	Brokering
53.	Bhagwandeem-Ramdhanie, Samantha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
54.	Bhagwandin, Chrysse	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
55.	Bharat, Renata	Bourse Brokers Limited	Advising
56.	Bissessar, Avinash	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
57.	Blackman, Javan	First Citizens Bank Limited	Brokering
58.	Blake, Lee-Ann	First Citizens Bank Limited	Associate
59.	Bleasdel, Erica	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
60.	Bleasdel, Jason	KCL Capital Market Brokers Limited	Associate
61.	Bobb, Sheldon	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
62.	Boissiere, Andrew	Ansa Merchant Bank Limited	Advising and Underwriting
63.	Boodram, Renelle	Guardian Asset Management and Investment Services Limited	Associate
64.	Boodram, Rodney Ajit	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
65.	Boodram, Sheryl	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
66.	Boodram, Winston	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Brokering and Underwriting

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67.	Borde-Ferreira, Sabrina	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
68.	Bosland, Dirk	First Citizens Depository Services Limited	Brokering
69.	Bourne, Talibah	First Citizens Bank Limited	Associate
70.	Bovell, Khadine	Firstline Securities Limited	Advising and Brokering
71.	Bowen, Wendell	First Citizens Bank Limited	Brokering
72.	Bridgelal, Judy	Sagicor Investments Trinidad & Tobago Limited	Associate
73.	Bridgewater, Joanne Cheryl	Republic Wealth Management Limited	Brokering
74.	Bridglal, Alvin	B3V Holdings Limited	Brokering
75.	Bridglal, Bradley	Republic Bank Limited	Brokering and Underwriting
76.	Budrie, Leanna	Bourse Securities Limited	Associate
77.	Cabral, Kassann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
78.	Caesar, Nigel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
79.	Camacho, James	Sagicor Life Incorporated	Underwriting
80.	Campbell, Brent	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
81.	Cassim, Marc	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
82.	Cedenio, Claude	Firstline Securities Limited	Advising and Brokering
83.	Chamely, Anna	Murphy Clarke Financial Limited	Advising
84.	Charles Jnr, Andrew	Murphy Clarke Financial Limited	Brokering
85.	Charles, Latoya	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
86.	Charles, Ray	Scotiabank Trinidad and Tobago Limited	Associate
87.	Chester, Ryan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
88.	Chin, Ian	Ansa Merchant Bank Limited	Advising and Brokering
89.	Chin, Ian	Ansa Securities Limited	Advising and Brokering
90.	Clarke, Dwayne	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
91.	Clarke, John Peter	Republic Bank Limited	Advising and Brokering
92.	Clarke, Leslie	Murphy Clarke Financial Limited	Brokering and Underwriting
93.	Clarke-Mohan, Narda	First Citizens Depository Services Limited	Brokering

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94.	Clifton, Emile	Guardian Asset Management and Investment Services Limited	Advising and Brokering
95.	Coonai, Ryan	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
96.	Cooper, Michael	Firstline Securities Limited	Associate
97.	Creese, Marli	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising, Brokering and Underwriting
98.	Crooks, Victoria Marie	Sheppard Securities Limited	Brokering
99.	Crooks, Victoria Marie	Sheppard Stockbrokers Limited	Brokering
100.	Cruickshank-Hosam, Candice	KSBM Asset Management Limited	Advising
101.	Cruickshank-Simon, Lesa	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
102.	Da Silva, Michael	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
103.	Daniel, Adrian	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
104.	Darbasie, Karen	First Citizens Bank Limited	Brokering and Underwriting
105.	Darbasie, Karen	First Citizens Brokerage and Advisory Services Limited	Brokering
106.	Dasrathsingh, Jamie	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
107.	Davis, Christie	First Citizens Investment Services Limited	Associate
108.	De Boulet, Krysel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
109.	De Graff, Dunovan	Sagikor Investments Trinidad & Tobago Limited	Underwriting
110.	De Nobriga, Lisa	First Citizens Bank Limited	Brokering
111.	De Sormeaux, Candice	Scotiabank Trinidad and Tobago Limited	Brokering
112.	De Souza, Javan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
113.	Denoon, Troy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
114.	Deonarinesingh, Deanish	Scotiabank Trinidad and Tobago Limited	Brokering
115.	Des Vignes, Michelle	KCL Capital Market Brokers Limited	Advising

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<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
116.	Desormeaux, Isaiah	First Citizens Investment Services Limited	Associate
117.	Dillon, Genevieve	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
118.	Dindial, Leanna	Guardian Asset Management and Investment Services Limited	Associate
119.	Donaldson, Graham Duff	Mondial (Trinidad) Limited	Advising
120.	Donaldson-Jeffrey, Amril	First Citizens Portfolio and Investment Management Services Limited	Brokering
121.	Donawa, Ralph	Sagicor Investments Trinidad & Tobago Limited	Associate
122.	Dookie, Dave	Waterloo Capital Advisors Limited	Advising and Brokering
123.	Douglas, Alana	West Indies Stockbrokers Limited	Associate
124.	Downie, Richard	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
125.	Drakes, Candice	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
126.	Drayton, Amichai	The Home Mortgage Bank	Advising, Brokering and Underwriting
127.	Dukharan, Nirvana	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising and Brokering
128.	Duncan, Terrel	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
129.	Edoo, Richard	Scotiabank Trinidad and Tobago Limited	Associate
130.	Edwards, Belinda	First Citizens Bank Limited	Brokering
131.	Edwards, De Quan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
132.	Edwards, Stacyann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
133.	Eligon, Michelle	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
134.	Ellis, Rory	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
135.	Emmanuel, Shayne	Ansa Merchant Bank Limited	Associate
136.	Emmanuel, Shayne	Ansa Securities Limited	Associate
137.	Escallier, Racine	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
138.	Evans, Richard	Trinidad and Tobago Unit Trust Corporation	Brokering

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139.	Fadahunsi, Lai	SHBL Investments Company Limited	Advising
140.	Fahey, Desmond	First Citizens Bank Limited	Associate
141.	Farmer, Daniel Wilfred	FigTree Financial (T&T) Limited	Brokering
142.	Farmer, Karen	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
143.	Ferdinand, Jean-Maurice	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
144.	Ferguson, Andrew	Maritime Capital Limited	Advising and Brokering
145.	Ferreira, Greg	First Citizens Investment Services Limited	Brokering
146.	Ferreira, Shauna	Bourse Securities Limited	Advising
147.	Forde, Maria	Trinidad and Tobago Unit Trust Corporation	Advising
148.	Francis, Sparkle Sally	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
149.	Gadraj-Baboolal, Neena	First Citizens Bank Limited	Brokering
150.	Gajadhar, Kerry	Caribbean Stockbrokers Limited	Associate
151.	Gajadhar, Usha	The Home Mortgage Bank	Brokering and Underwriting
152.	Gangaram, Radica	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
153.	Garcia, Edmund	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
154.	Garcia-David, Apphia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
155.	Gatt, Gordon Anthony	Sheppard Securities Limited	Associate
156.	Gayapersad, Camille	First Citizens Investment Services Limited	Associate
157.	George Andalcio-O'Neil, Carlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
158.	George, Alicia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
159.	George, Trevelon	First Citizens Bank Limited	Brokering
160.	George-Sharpe, Melanie	Guardian Asset Management and Investment Services Limited	Associate
161.	Gill, Sherwin M.	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
162.	Girod, Renata	JMMB Investments (Trinidad and Tobago) Limited	Advising

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

REGISTERED REPRESENTATIVES			
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163.	Gittens, Richard	Sagikor Investments Trinidad & Tobago Limited	Associate
164.	Gokool, Kavita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
165.	Gonzales, Adesha	Scotiabank Trinidad and Tobago Limited	Brokering
166.	Gooptar, Jerome	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
167.	Gopaul, Teneil	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
168.	Gosine, Ishan	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising and Brokering
169.	Gosine, Jerome	Scotiabank Trinidad and Tobago Limited	Associate
170.	Gregory, Christopher Andre	FigTree Financial (T&T) Limited	Brokering
171.	Guerra, Nneka	Republic Wealth Management Limited	Brokering
172.	Guevara, Joshua	Capital Markets Elite Group (Trinidad and Tobago) Limited	Associate
173.	Guppy, Marcus	Firstline Securities Limited	Advising, Brokering and Underwriting
174.	Guyadeen-Gosine, Karen	Trinidad and Tobago Unit Trust Corporation	Advising
175.	Hackett-Murray, Desiree	Trinidad and Tobago Unit Trust Corporation	Advising
176.	Hamel-Smith, Shane	Sheppard Securities Limited	Advising
177.	Hanooman, Rodney	Scotiabank Trinidad and Tobago Limited	Brokering
178.	Hansraj, Richard	First Citizens Bank Limited	Associate
179.	Hardeo, Avin	Republic Bank Limited	Advising, Brokering and Underwriting
180.	Harding-Mohan, Nadine	First Citizens Bank Limited	Brokering
181.	Harrilal, Tanelle	First Citizens Bank Limited	Brokering
182.	Hector-Orr, Kamala	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
183.	Henry, Aliya Marisa	Republic Wealth Management Limited	Advising and Brokering
184.	Henry, Lester	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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185.	Henry, Ornella	Sagicor Investments Trinidad & Tobago Limited	Associate
186.	Hernandez, Alicia	Firstline Securities Limited	Advising, Brokering and Underwriting
187.	Hernandez, Jody	Guardian Group Trust Limited	Advising, Brokering and Underwriting
188.	Hills, Nikesha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
189.	Hinkson, Duane Anthony	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
190.	Holder, Omar	Trinidad and Tobago Unit Trust Corporation	Advising
191.	Hosein, Chelsea	Guardian Asset Management and Investment Services Limited	Associate
192.	Hosein, Narisa	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
193.	Hosein, Sean	Bourse Brokers Limited	Advising and Brokering
194.	Hosein, Sean	Bourse Securities Limited	Advising and Brokering
195.	Hudson, Makeda	Guardian Asset Management and Investment Services Limited	Associate
196.	Ible, Isabelle	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
197.	Inglefield, Geoffrey	Ansa Merchant Bank Limited	Associate
198.	Isahack-Paul, Naadira	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
199.	Israel, Stacy	First Citizens Investment Services Limited	Associate
200.	Jackman, Ihsan	Guardian Group Trust Limited	Advising, Brokering and Underwriting
201.	Jackman, Janice	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
202.	Jackson, Mikkell	First Citizens Bank Limited	Brokering
203.	Jacobie, Natalie	Scotiabank Trinidad and Tobago Limited	Associate
204.	Jagbirsingh, Candace	RBC Merchant Bank (Caribbean) Limited	Underwriting
205.	Jagdeosingh, Veronica	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
206.	Jagroop, Subash	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
207.	James, Kerron	Republic Bank Limited	Advising, Brokering and Underwriting

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REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
208.	Jan, Nizea	Scotiabank Trinidad and Tobago Limited	Associate
209.	Jenkins, Paul	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Brokering
210.	Jessop, Avionne	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
211.	John, Marisa Elisabeth	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
212.	John, Trever	Guardian Asset Management and Investment Services Limited	Advising and Brokering
213.	Johnson, Carolyn	Caribbean Stockbrokers Limited	Brokering
214.	Joseph, Cindy-Ann	Republic Wealth Management Limited	Associate
215.	Joseph, Davina	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
216.	Joseph, Kyle	Scotiabank Trinidad and Tobago Limited	Associate
217.	Joseph, Nikeisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
218.	Joseph, Ru-Ann	First Citizens Investment Services Limited	Advising
219.	Joseph, Terri-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
220.	Joseph-Seerattan, Carlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
221.	Julien, Jason	First Citizens Bank Limited	Brokering and Underwriting
222.	Julien-Jack, Maxime	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
223.	Juman, Neil	First Citizens Bank Limited	Brokering
224.	Juman, Rachael	First Citizens Bank Limited	Brokering
225.	Kadir, Amanda	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
226.	Kalicharan, Ramcharan	KSBM Asset Management Limited	Advising and Brokering
227.	Katwaroo, Darren	Scotiabank Trinidad and Tobago Limited	Associate
228.	Kerr, Candace	Guardian Asset Management and Investment Services Limited	Advising
229.	Kesar, Curley	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
230.	Khafra, Jeremy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
231.	Khan, Marsha	Republic Wealth Management Limited	Associate
232.	Khan, Meera	Scotiabank Trinidad and Tobago Limited	Associate
233.	Khan, Michael Vaughn	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
234.	Khan, Tishara	Guardian Asset Management and Investment Services Limited	Advising
235.	Khan-Abdool, Amirah	Guardian Group Trust Limited	Advising, Brokering and Underwriting
236.	King, Dalia Patrice	Firstline Securities Limited	Advising, Brokering and Underwriting
237.	King, Keith Michael	Firstline Securities Limited	Advising, Brokering and Underwriting
238.	King, Mary	Mondial (Trinidad) Limited	Advising
239.	King, Maxine Cheryl	Firstline Securities Limited	Advising, Brokering and Underwriting
240.	Kirpaul-Mohammed, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
241.	Kirton, Kelly	Scotiabank Trinidad and Tobago Limited	Brokering
242.	Kishore, Rory	First Citizens Bank Limited	Brokering
243.	Kissoon, Tricia	JMMB Investments (Trinidad and Tobago) Limited	Brokering and Underwriting
244.	Kissoon, Tricia	JMMB Securities (T&T) Limited	Brokering and Underwriting
245.	Kissoondath Singh, Lollita	RBC Investment Management (Caribbean) Limited	Advising and Brokering
246.	La Borde, Kevin	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
247.	Labban, Hazel	Republic Wealth Management Limited	Advising and Brokering
248.	Lackan, Rajesh	KSBM Asset Management Limited	Advising
249.	Lakhan, Jagdesh	Waterloo Capital Advisors Limited	Advising and Brokering
250.	Lala, Salisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
251.	Lambert, Daniel	FCL Financial Limited	Advising
252.	Le Gendre, Chala	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
253.	Leach, Keron	The Home Mortgage Bank	Advising, Brokering and Underwriting
254.	Leander Yeates, Lisa	Republic Wealth Management Limited	Brokering
255.	Leggard, Kaffi	Sheppard Securities Limited	Advising
256.	Leggard, Kaffi	Sheppard Stockbrokers Limited	Brokering
257.	Leong Poi, Lezanne	Murphy Clarke Financial Limited	Associate
258.	Leons, Keron	First Citizens Investment Services Limited	Associate
259.	Lewis, Lumecha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
260.	Lewis, Robin	First Citizens Investment Services Limited	Brokering
261.	Lewis, Robin	First Citizens Brokerage and Advisory Services Limited	Brokering
262.	Lewis, Robin	First Citizens Portfolio and Investment Management Services Limited	Brokering
263.	Lewis-Best, Leah	KCL Capital Market Brokers Limited	Associate
264.	Logan, Nigel	Bourse Brokers Limited	Associate
265.	London, Terrence	Scotiabank Trinidad and Tobago Limited	Brokering
266.	Loney, Tineal	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
267.	Lourenco, Keomi	Guardian Group Trust Limited	Advising, Brokering and Underwriting
268.	Lucie-Smith, Kristina	Sagikor Investments Trinidad & Tobago Limited	Associate
269.	Lum Sang-De Silva, Gina	West Indies Stockbrokers Limited	Brokering
270.	Lum Sang-De Silva, Gina	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
271.	Lutchman, Khadeen	Scotiabank Trinidad and Tobago Limited	Brokering
272.	Ma Kuan, Christopher	Republic Wealth Management Limited	Associate
273.	Mac Quan, Keisha	First Citizens Investment Services Limited	Advising
274.	Mackoon, Darlene	Scotiabank Trinidad and Tobago Limited	Brokering
275.	Mahabir, Keshala	Maritime Capital Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
276.	Mahabir, Shival	RBC Investment Management (Caribbean) Limited	Advising and Brokering
277.	Maharaj, Avindra Lal	Ansa Merchant Bank Limited	Advising
278.	Maharaj, Geerevan	Republic Bank Limited	Advising, Brokering and Underwriting
279.	Maharaj, Nadya	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
280.	Maharaj, Sally	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
281.	Maharaj, Varun	Maritime Capital Limited	Brokering
282.	Maharaj-Ramnarine, Laura	Scotiabank Trinidad and Tobago Limited	Associate
283.	Maingot, Danielle	Murphy Clarke Financial Limited	Brokering
284.	Majid, Fareesha	Murphy Clarke Financial Limited	Brokering
285.	Manmohan, Adrian	West Indies Stockbrokers Limited	Brokering
286.	Mannah, Shivanand	JMMB Investments (Trinidad and Tobago) Limited	Associate
287.	Marcano, Annalee	KCL Capital Market Brokers Limited	Associate
288.	Marcus, Kriss	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
289.	Mark, Terrel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
290.	Marlow, Marissa	Ansa Merchant Bank Limited	Associate
291.	Martinez, Miguel	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
292.	Martinez, Miguel	Guardian Group Trust Limited	Advising, Brokering and Underwriting
293.	Martinez, Robert	Republic Bank Limited	Advising and Brokering
294.	Mc Donald, Anika	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
295.	Mc Dougall, Kalifa	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
296.	Mc Kenzie, Racine	Scotia Investments (Trinidad and Tobago) Limited	Brokering
297.	Mc Quilkin, Michael	Republic Bank Limited	Advising, Brokering and Underwriting
298.	McKell, Romesh	First Citizens Investment Services Limited	Advising
299.	Melville, Adalene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
300.	Merritt, Thandi	Guardian Group Trust Limited	Advising, Brokering and Underwriting
301.	Milford, Janine	Republic Wealth Management Limited	Advising and Brokering
302.	Millar, Michael	Sagicor Investments Trinidad & Tobago Limited	Brokering
303.	Minwah-Mohammed, Balmatie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
304.	Mitchell, Myles	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
305.	Mohammed, Denika	Guardian Asset Management and Investment Services Limited	Associate
306.	Mohammed, Faye	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
307.	Mohammed, Nicholas	Firstline Securities Limited	Associate
308.	Mohammed, Reshard	Scotia Investments (Trinidad and Tobago) Limited	Brokering
309.	Mohammed, Ronald	Bourse Securities Limited	Associate
310.	Mohammed, Salisha	Guardian Asset Management and Investment Services Limited	Associate
311.	Mohammed, Shaun	First Citizens Brokerage and Advisory Services Limited	Associate
312.	Mohammed, Shyam	First Citizens Bank Limited	Brokering
313.	Mohammed, Xoceketzal	First Citizens Portfolio and Investment Management Services Limited	Brokering
314.	Mohammed, Zinora	KCL Capital Market Brokers Limited	Advising
315.	Mohammed-Dass, Shazeema	Trinidad and Tobago Unit Trust Corporation	Advising
316.	Mohan, Justin	Republic Bank Limited	Advising and Brokering
317.	Moonan, Videsh	B3V Holdings Limited	Brokering
318.	Moonsammy, Vishwada	The Home Mortgage Bank	Associate
319.	Moore, Nichelle	Guardian Asset Management and Investment Services Limited	Associate
320.	Moses-Rodriguez, Geneil	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
321.	Murrell, Cherise	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
322.	Murrell-Richardson, Neisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
323.	Muzaffarr, Bibi Ruhanna	RBC Investment Management (Caribbean) Limited	Advising
324.	Nagassar, Nigel	Guardian Asset Management and Investment Services Limited	Advising and Brokering
325.	Narine, Estelle	Scotiabank Trinidad and Tobago Limited	Brokering
326.	Nelson, Avalon	Scotiabank Trinidad and Tobago Limited	Associate
327.	Neverson, Diandra	Republic Bank Limited	Advising and Brokering
328.	Noor, Malekha Trudy	First Citizens Bank Limited	Brokering
329.	Nuzum, Adrian	Sheppard Securities Limited	Associate
330.	Nuzum, Adrian	Sheppard Stockbrokers Limited	Associate
331.	Olliviera, David	First Citizens Bank Limited	Associate
332.	Padia, Andre	First Citizens Investment Services Limited	Advising
333.	Pagee, Gillian	First Citizens Investment Services Limited	Advising
334.	Pantin, Clarissa	West Indies Stockbrokers Limited	Associate
335.	Pardais, Maria	First Citizens Portfolio and Investment Management Services Limited	Advising
336.	Parrage, Ryan	Waterloo Capital Advisors Limited	Brokering
337.	Pascal, Anand	Guardian Life of the Caribbean Limited	Advising
338.	Pasea, Christian	Sagicor Life Incorporated	Brokering
339.	Passee, Johann	Firstline Securities Limited	Advising and Brokering
340.	Patrick, Cole	Firstline Securities Limited	Advising, Brokering and Underwriting
341.	Patterson, Denese	First Citizens Bank Limited	Brokering
342.	Patterson, Rodney	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
343.	Pemberton, Tiffany	Development Finance Limited	Advising, Brokering and Underwriting
344.	Pereira, Marc Richard	Sheppard Securities Limited	Associate
345.	Perez, Adrian	Guardian Group Trust Limited	Advising, Brokering and Underwriting
346.	Perryman, Jarod	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
347.	Persad, Anuradha	First Citizens Bank Limited	Advising
348.	Persad, Darius	First Citizens Bank Limited	Brokering
349.	Persadie, Ginelle	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
350.	Persad-Joseph, Aneisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
351.	Persad-Lewis, Cherry-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
352.	Phelps, Alesha	Sagicor Life Incorporated	Underwriting
353.	Phelps, Alesha	Sagicor Investments Trinidad & Tobago Limited	Brokering
354.	Phillips, Myles	Citicorp Merchant Bank Limited	Underwriting
355.	Pierre, Jabari	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
356.	Pilgrim, Jonathan	Republic Wealth Management Limited	Associate
357.	Pirali, Aamir	Scotiabank Trinidad and Tobago Limited	Associate
358.	Piralli, Charles	JMMB Investments (Trinidad and Tobago) Limited	Brokering
359.	Piralli, Charles	JMMB Securities (T&T) Limited	Brokering
360.	Pollard Jnr., Duke	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
361.	Pollidore, Jaeden	Guardian Asset Management and Investment Services Limited	Associate
362.	Pounder, Giselle	Republic Wealth Management Limited	Brokering
363.	Prevatt, Osmond Cleaveland	The Home Mortgage Bank	Advising, Brokering and Underwriting
364.	Prince, Jamila	Guardian Asset Management and Investment Services Limited	Associate
365.	Racha, Delano	Scotiabank Trinidad and Tobago Limited	Brokering
366.	Rackal, Cindy	First Citizens Bank Limited	Brokering
367.	Raghunanansingh, Laura	Sagicor Investments Trinidad & Tobago Limited	Associate
368.	Ragoonath, Randy	Scotiabank Trinidad and Tobago Limited	Associate
369.	Rajkumar, Keisha	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
370.	Ramcharan, Diana	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
371.	Ramdass, Pamela	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
372.	Ramdhanie, Timmel	First Citizens Bank Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
373.	Ramdhanie-Sultan, Sita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
374.	Ramdial, Thaies	Guardian Asset Management and Investment Services Limited	Associate
375.	Ramgoolie, Videsh	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
376.	Ramirez, Roberto	Scotiabank Trinidad and Tobago Limited	Underwriting
377.	Ramjattan, Stacey	Guardian Asset Management and Investment Services Limited	Associate
378.	Ramjit, Shivani	Scotiabank Trinidad and Tobago Limited	Brokering
379.	Ramkhalawan, Keith	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
380.	Ramkhelawan, Sarodh	Bourse Securities Limited	Advising, Brokering and Underwriting
381.	Ramkhelawan, Sarodh	Bourse Brokers Limited	Advising, Brokering and Underwriting
382.	Ramkhelawan, Subhas	Bourse Securities Limited	Advising, Brokering and Underwriting
383.	Ramkhelawan, Subhas	Bourse Brokers Limited	Advising, Brokering and Underwriting
384.	Ramkissoon, Baldath	Republic Bank Limited	Advising and Brokering
385.	Ramkissoon, Dana	First Citizens Investment Services Limited	Associate
386.	Ramkissoon, Fahreena	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
387.	Ramkissoon-Joseph, Shivana	Republic Bank Limited	Advising and Brokering
388.	Ramkripaul, Rachel	KCL Capital Market Brokers Limited	Associate
389.	Ramlal, Charanjit	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
390.	Ramlochan, Gideon	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
391.	Ramlogan, Riad	Scotiabank Trinidad and Tobago Limited	Brokering
392.	Ramnarine, Sasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
393.	Ramnath, Christian	Sheppard Securities Limited	Associate
394.	Ramnath, Rachel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
395.	Ramnath-Singh, Gail	Caribbean Stockbrokers Limited	Associate
396.	Ramoutar, Emily	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
397.	Rampartap, Rondel	JMMB Investments (Trinidad and Tobago) Limited	Associate
398.	Rampersad, Reshma	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
399.	Rampersad, Travis	First Citizens Investment Services Limited	Brokering
400.	Rampersad-Sookah, Annecia	Scotiabank Trinidad and Tobago Limited	Associate
401.	Ramphal, Leeana	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
402.	Ramroop, Rajesh	First Citizens Investment Services Limited	Associate
403.	Ramsaran, Anrika	West Indies Stockbrokers Limited	Associate
404.	Ramsaroop, Onilla	Scotiabank Trinidad and Tobago Limited	Associate
405.	Ramsingh, Chanelle	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
406.	Ramsumair-Mahabir, Nadia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
407.	Ramsumair-Mohammed, Shivana	First Citizens Investment Services Limited	Advising
408.	Ransome, Diann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
409.	Rattan, Basdai	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
410.	Rattansingh, Prasanna	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
411.	Redhead, Eric	Murphy Clarke Financial Limited	Associate
412.	Reid, Stefan	Guardian Asset Management and Investment Services Limited	Associate
413.	Remy, Crystal	First Citizens Bank Limited	Brokering
414.	Reyes, Erfan	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
415.	Roberts, Pernel	Republic Wealth Management Limited	Advising and Brokering
416.	Robinson, David	Republic Bank Limited	Advising and Brokering
417.	Rochard, Wayne	First Citizens Bank Limited	Associate
418.	Rodrigues, Herman	Mondial (Trinidad) Limited	Advising

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419.	Romilly-Raymah, Selene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
420.	Roopchand, Alicia	JMMB Investments (Trinidad and Tobago) Limited	Advising
421.	Ruiz, Carol-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
422.	Sa Gomes, Chip	Admiral Limited	Advising and Brokering
423.	Sabga, Nigel Anthony	Ansa Merchant Bank Limited	Advising and Brokering
424.	Sadoo, Mark	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
425.	Salazar, Krystal	Scotia Investments (Trinidad and Tobago) Limited	Brokering
426.	Salloum Clarke, Christiane Michelle	FigTree Financial (T&T) Limited	Brokering
427.	Salvary, Brent	KSBM Asset Management Limited	Advising and Brokering
428.	Samaroo, Lawrence	Scotiabank Trinidad and Tobago Limited	Brokering
429.	Samodee, Keisha	Guardian Asset Management and Investment Services Limited	Associate
430.	Samuel-James, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
431.	Sanasie-Ali, Renee	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
432.	Sanderson, Daniell	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
433.	Sandy, Dexter	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
434.	Sankar-Cassie, Liandra	Sagikor Investments Trinidad & Tobago Limited	Brokering
435.	Santoo, Barry	First Citizens Bank Limited	Brokering
436.	Sawh, Vasudev	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
437.	Scott, Deyson	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
438.	Seale, Marlon	First Citizens Bank Limited	Brokering
439.	Seebaran, Madree	Bourse Brokers Limited	Advising and Brokering
440.	Seedhan, Annette	Citicorp Merchant Bank Limited	Brokering
441.	Seedial, Yuri	First Citizens Brokerage and Advisory Services Limited	Brokering
442.	Seenath, Liz	Scotiabank Trinidad and Tobago Limited	Associate

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443.	Seenath, Shushilla	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
444.	Seepaul, Diana	First Citizens Bank Limited	Advising
445.	Seepersad Singh, Ornella	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
446.	Seepersad, Shane	Republic Bank Limited	Advising and Brokering
447.	Seepersad, Shani	Republic Wealth Management Limited	Advising and Brokering
448.	Seepersadsingh, Sheldon	Scotiabank Trinidad and Tobago Limited	Associate
449.	Sharma-Kamaludin, Adita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
450.	Sheppard, Alan Michael	Sheppard Securities Limited	Advising
451.	Sheppard, George David	Sheppard Securities Limited	Brokering
452.	Sheppard, George David	Sheppard Stockbrokers Limited	Brokering
453.	Silva, Loise	Guardian Asset Management and Investment Services Limited	Associate
454.	Simeon, Simone	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
455.	Simmons, Dhaana	Scotiabank Trinidad and Tobago Limited	Associate
456.	Sinanan-Seepersad, Sally	Republic Wealth Management Limited	Associate
457.	Singh, Amit	Guardian Asset Management and Investment Services Limited	Associate
458.	Singh, Dianne	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
459.	Singh, Gia	Sheppard Securities Limited	Advising
460.	Singh, Lillian	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
461.	Singh, Roshnie	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
462.	Singh, Shyermarlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
463.	Singh, Vishana	JMMB Investments (Trinidad and Tobago) Limited	Associate
464.	Slater, Sue-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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465.	Smith, Cortlyn	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
466.	Smith, Kamlis	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
467.	Somair, Sean Gregory	RBC Investment Management (Caribbean) Limited	Advising and Brokering
468.	Sookdeo, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
469.	Sookdeo, Shivanand	Republic Bank Limited	Advising and Brokering
470.	Sookhan, Sheba	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
471.	Sookoo, Anganie	JMMB Investments (Trinidad and Tobago) Limited	Brokering
472.	Sookoo, Anganie	JMMB Securities (T&T) Limited	Brokering
473.	Sookoo, Jennifer	JMMB Investments (Trinidad and Tobago) Limited	Advising
474.	Sookram-Bridgelal, Nadia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
475.	St. Louis, Leslie	First Citizens Brokerage and Advisory Services Limited	Brokering
476.	Stanford, Dahlia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
477.	Stephen, Chrissie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
478.	Stone, Frank	Murphy Clarke Financial Limited	Associate
479.	Sudama, Neeta	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
480.	Sukhu, Rochelle	First Citizens Bank Limited	Advising
481.	Sund-Olton, Angelique	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
482.	Sutherland, Damian	First Citizens Bank Limited	Brokering
483.	Sylvester, Kristopher	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
484.	Syne, Sacha	First Citizens Bank Limited	Brokering and Underwriting
485.	Telesford-Pierre, Trudie	KCL Capital Market Brokers Limited	Advising
486.	Thomas, Alvin	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
487.	Thomas, Gary	Bourse Securities Limited	Advising and Brokering
488.	Thomas, Marquis	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
489.	Thomas, Rowland Marc	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
490.	Thomas, Shivon	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
491.	Thompson, Cedric	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
492.	Tirbany, Lena	First Citizens Bank Limited	Brokering
493.	Tittil, Daniel	Admiral Limited	Advising and Brokering
494.	Titus, Heather	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Brokering and Underwriting
495.	Tom Yew, Brad	Republic Wealth Management Limited	Advising and Brokering
496.	Tom Yew, Katrine	Guardian Asset Management and Investment Services Limited	Advising and Brokering
497.	Toney-Gooding, Marcia	First Citizens Depository Services Limited	Brokering
498.	Toolsee, Jayde	Bourse Brokers Limited	Associate
499.	Trestrail, Robert	Sagikor Life Incorporated	Brokering
500.	Turpin-Carrington, Kelsey	Scotiabank Trinidad and Tobago Limited	Associate
501.	Valdez, Stacy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
502.	Valentine, Selene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
503.	Valley, Kerwyn	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
504.	Valley, Kerwyn	Aspire Fund Management Company Limited	Advising
505.	Valley, Kevin	Aspire Fund Management Company Limited	Advising
506.	van der Vaart, Hubertus Jan	SEAF Caribbean Management LLC	Advising
507.	Vieira, Lisa	SHBL Investments Company Limited	Advising
508.	Vincent, Trisha	First Citizens Investment Services Limited	Advising
509.	Walker-Huggins, Tricia	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
510.	Wallace, Wilfred Gregory	Mondial (Trinidad) Limited	Advising
511.	Webster-Villafana, Akisha	Trinidad and Tobago Unit Trust Corporation	Associate

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
512.	Welch, Luke	Sheppard Securities Limited	Associate
513.	Wellington, Bryan	KCL Capital Market Brokers Limited	Associate
514.	Wells-Fraser, Bernadette	JMMB Securities (T&T) Limited	Associate
515.	Westfield, Vanessa	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
516.	Whiskey, Tennille	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
517.	White, Darryl	RBC Merchant Bank (Caribbean) Limited	Underwriting
518.	White, Marlon	Scotiabank Trinidad and Tobago Limited	Associate
519.	Whiteman, Sharon	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
520.	Williams, Beverly	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
521.	Williams, Leo	SEAF Caribbean Management LLC	Advising
522.	Williams, Marlon	First Citizens Bank Limited	Associate
523.	Williams, Rawlena	Scotiabank Trinidad and Tobago Limited	Associate
524.	Williams, Reynold	Ansa Merchant Bank Limited	Advising and Brokering
525.	Williams, Reynold	Ansa Securities Limited	Advising and Brokering
526.	Williams-Samlal, Kerry Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
527.	Wilson-Mano, Linda	Trinidad and Tobago Unit Trust Corporation	Advising
528.	Wright, Christopher	Ansa Merchant Bank Limited	Advising
529.	Wynter, Paul	Guardian Asset Management and Investment Services Limited	Advising and Brokering
530.	Young, Angus Peter	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising, Brokering and Underwriting
531.	Youseff, Daniel	First Citizens Investment Services Limited	Advising and Underwriting
532.	Zakour, Georgina	NCB Merchant Bank (Trinidad and Tobago) Limited	Associate

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>SPONSORED BROKER-DEALERS</u>		
	NAME OF SPONSORED BROKER-DEALER	ASSOCIATED BROKER-DEALER
1.	Cusimano, Paul	Scotia Investments (Trinidad and Tobago) Limited
2.	Franks, Jerome	Scotia Investments (Trinidad and Tobago) Limited
3.	Guedez, Arnaldo Jose	Scotia Investments (Trinidad and Tobago) Limited
4.	Juarez, Yuly	Scotia Investments (Trinidad and Tobago) Limited
5.	Stollmeyer, Scott	Scotia Investments (Trinidad and Tobago) Limited
6.	Vandewater, Thomas	Scotia Investments (Trinidad and Tobago) Limited
7.	Villamil Giraldo, Juan Carlos	Scotia Investments (Trinidad and Tobago) Limited
8.	Ylagan, Carlo	Scotia Investments (Trinidad and Tobago) Limited

<u>REPORTING ISSUERS</u>	
1.	Add Venture Capital Fund Limited
2.	Agostini's Limited
3.	Airports Authority of Trinidad and Tobago
4.	Angostura Holdings Limited
5.	ANSA McAL Limited
6.	Ansa Merchant Bank Limited
7.	Ansa Money Market Funds
8.	BWIA West Indies Airways Limited
9.	Capital Markets Elite Group (Trinidad and Tobago) Limited
10.	CinemaONE Limited
11.	Citibank (Trinidad and Tobago) Limited
12.	Citicorp Merchant Bank Limited
13.	Development Finance Limited
14.	Dynamic Equity Fund II Limited
15.	Education Facilities Company Limited
16.	Endeavour Holdings Limited
17.	Eppley Caribbean Property Fund Limited SCC
18.	First Citizens Bank Limited
19.	First Citizens Depository Services Limited
20.	First Citizens Group Financial Holdings Limited
21.	First Citizens Investment Services Limited
22.	First Money Market Fund
23.	FirstCaribbean International Bank Limited
24.	Flavorite Foods Limited
25.	FNCU Venture Capital Company Limited
26.	Furness Trinidad Limited
27.	GraceKennedy Limited
28.	Guardian Asset Management and Investment Services Limited
29.	Guardian Caribbean Equity Fund
30.	Guardian Fixed Income Fund
31.	Guardian Holdings Limited

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>REPORTING ISSUERS</u>	
32.	Guardian Media Limited
33.	JMMB Bank (T&T) Limited
34.	JMMB Group Limited
35.	JMMB Life Goal Funds S2
36.	L.J. Williams Limited
37.	Mack Investment Business Corporation Limited
38.	Massy Holdings Limited
39.	Mora Ven Holdings Limited
40.	Mortgage Participation Fund
41.	MPC Caribbean Clean Energy Limited
42.	National Enterprises Limited
43.	National Flour Mills Limited
44.	National Infrastructure Development Company Limited
45.	National Insurance Property Development Company Limited
46.	National Investment Fund Holding Company Limited
47.	National Maintenance Training and Security Company Limited
48.	NCB Financial Group Limited
49.	Nestle Trinidad and Tobago Limited
50.	One Caribbean Media Limited
51.	Point Lisas Industrial Port Development Corporation Limited
52.	Port Authority of Trinidad and Tobago
53.	Prestige Holdings Limited
54.	Public Transport Service Corporation
55.	RBC Merchant Bank (Caribbean) Limited
56.	RBC Royal Bank (Trinidad and Tobago) Limited
57.	Republic Bank Limited
58.	Republic Financial Holdings Limited
59.	Republic TT\$ Fixed Income Securities Fund
60.	RGM Property Fund
61.	Royal Bank of Canada
62.	Sagicor Financial Company Limited
63.	Sagicor Funds Incorporated
64.	Sagicor Go-Mutual Funds
65.	Samaan Tree Fund
66.	Savinvest India Asia Fund
67.	Scotia Canadian Equity Fund
68.	Scotia Caribbean Income Fund Inc.
69.	Scotia Global Equity Fund
70.	Scotia Money Market Fund
71.	Scotia Trinidad and Tobago Fixed Income Fund
72.	Scotia Trinidad and Tobago Growth and Income Fund
73.	Scotia Trinidad and Tobago Short Term Income Fund
74.	Scotia US Dollar Bond Fund
75.	Scotia US Equity Fund

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>REPORTING ISSUERS</u>	
76.	Scotiabank Trinidad and Tobago Limited
77.	St. Christopher Air and Sea Ports Authority
78.	St. Kitts Urban Development Corporation Limited
79.	Telecommunications Services of Trinidad and Tobago Limited
80.	The Home Mortgage Bank
81.	The Sports Company of Trinidad and Tobago Limited
82.	The West Indian Tobacco Company Limited
83.	Tourism & Industrial Development Company of Trinidad & Tobago Limited
84.	Trinidad and Tobago Housing Development Corporation
85.	Trinidad and Tobago Mortgage Bank Limited
86.	Trinidad and Tobago NGL Limited
87.	Trinidad and Tobago Unit Trust Corporation
88.	Trinidad Cement Limited
89.	Unilever Caribbean Limited
90.	Urban Development Corporation of Trinidad & Tobago Limited
91.	UTC (Cayman) SPC Ltd
92.	Utmost International Isle of Man Limited
93.	Water & Sewerage Authority

<u>SELF-REGULATORY ORGANISATIONS</u>	
1.	The Trinidad and Tobago Central Depository Limited
2.	The Trinidad and Tobago Stock Exchange Limited



**PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION**

UPDATED 2024

**FREEDOM OF INFORMATION ACT, CHAPTER 22:02 OF THE LAWS OF THE
REPUBLIC OF TRINIDAD AND TOBAGO**

PUBLISHED WITH THE APPROVAL OF THE HONOURABLE MINISTER OF FINANCE

In compliance with Sections 7, 8 and 9 of the Freedom of Information Act (the “FOIA”).

In accordance with Sections 7, 8 and 9 of the Freedom of Information Act (the “FOIA”) the Trinidad and Tobago Securities and Exchange Commission (the “*Commission*”) is required by law to publish the following statements, which list the documents and information generally available for public scrutiny.

The FOIA gives members of the public a legal right:

1. A legal right for each person to access information held by the Commission;
2. A legal right for each person to have official information relating to himself/herself amended where it is incomplete, incorrect or misleading;
3. A legal right to obtain reasons for adverse decisions made by the Commission regarding an applicant’s request for information under the FOIA; and
4. Upon a Notice of Refusal, a legal right to complain in writing to the Ombudsman and to apply to the High Court for Judicial Review to decisions made under the FOIA.

SECTION 7 STATEMENTS

SECTION 7(1) (a) (i)

Functions and Organisation of the Commission

Mission

To protect investors, promote and enable the growth and development of the securities industry by nurturing fair, efficient and transparent securities markets, cooperating with other regulators and mitigating systemic risk.

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

Vision

To be an innovative regulator of a thriving securities market, enabling economic development for the benefit of all.

Core Values

Instilling confidence in the securities market by protecting investors, promoting sustainable market growth, and reducing systemic risk, through collaboration and innovation.

Functions of the Commission

The Commission was first established, by the Securities Industry Act, 1995 (“*SIA 1995*”) in 1997 and continued under the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“*SA*”) as a body corporate to regulate the securities market in Trinidad and Tobago.

The Commission’s main functions, as outlined in Section 6 of the SA are to:

- advise the Minister of Finance on matters relating to the securities industry;
- maintain surveillance over the securities industry and ensure orderly, fair and equitable dealings in securities;
- register, authorise or regulate, in accordance with the SA, self-regulatory organisations, broker-dealers, registered representatives, underwriters, issuers and investment advisers; and control and supervise their activities with a view to maintaining proper standards of conduct, and professionalism in the securities industry;
- regulate and supervise the timely, accurate, fair and efficient disclosure of information to the securities industry and investing public;
- conduct such inspections, reviews and examinations of self-regulatory organisations, broker-dealers, registered representatives, underwriters, issuers and investment advisers as may be necessary for giving full effect to the SA;
- protect the integrity of the securities market against any abuses arising from market manipulating practices, insider trading, conflicts of interest, and other unfair or improper practices;
- educate and promote an understanding by the public of the securities industry and the benefits, risks, and liabilities associated with investing in securities;
- co-operate with, and provide assistance to other regulatory authorities in Trinidad and Tobago, or elsewhere;
- ensure compliance with the Proceeds of Crime Act, any other written law in relation to the prevention of money laundering and combating the financing of terrorism or any other written law that is administered or supervised by the Commission;

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

- create and promote such conditions in the securities industry as may seem to it necessary, advisable or appropriate to ensure the orderly growth and development of the securities industry and to further the purposes of the SA;
- co-operate with other jurisdictions in the development of a fair and efficient securities industry; and
- assess, measure and evaluate risk exposure in the securities industry.

Powers of the Commission

- 7 (1) For the purpose of the discharge of its functions, the Commission has power pursuant to Section 7 of the SA to:
- (a) formulate principles for the guidance of the securities industry;
 - (b) treat with such matters as may be referred to it by any person from time to time;
 - (c) register and regulate market actors in accordance with this Act;
 - (d) monitor the solvency of registrants that are entities, securities markets and self-regulatory organisations and take measures to protect the interest of investors where the solvency of any such person is in doubt;
 - (e) adopt measures to supervise and minimise any conflict of interest that may arise in the case of registrants or self-regulatory organisations and where appropriate other market actors;
 - (f) review, approve and regulate takeovers, amalgamations and all forms of business combinations in accordance with this Act or any other written law in all cases in which it considers it expedient or appropriate to do so;
 - (g) review the contents of prospectuses and issue receipts therefor, and review any form of solicitation, advertisement or announcement by which securities are proposed to be distributed;
 - (h) take enforcement action against any person for failing to comply with this Act;
 - (i) recommend Bye-laws to the Minister;
 - (j) formulate, prepare and publish notices, guidelines, bulletins and policies describing the views of the Commission regarding the interpretation, application, or enforcement of this Act;
 - (ja) formulate, prepare and publish guidelines in respect of declared agreements;
 - (k) make orders; (l) monitor the risk exposure of registrants and self-regulatory organisations and take measures to protect the interest of investors, clients, members and the securities industry;
 - (m) undertake such other activities as are necessary or expedient for giving full effect to this Act; and
 - (n) do all things, and take all actions, which may be necessary, expedient, incidental or conducive to the discharge of any of its functions and the exercise of its powers under this Act.
- (2) The Commission may, in writing require any market actor to furnish it with such information as it may require for the exercise of its functions within such time and verified in such manner as it may specify.

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION 2024—CONTINUED

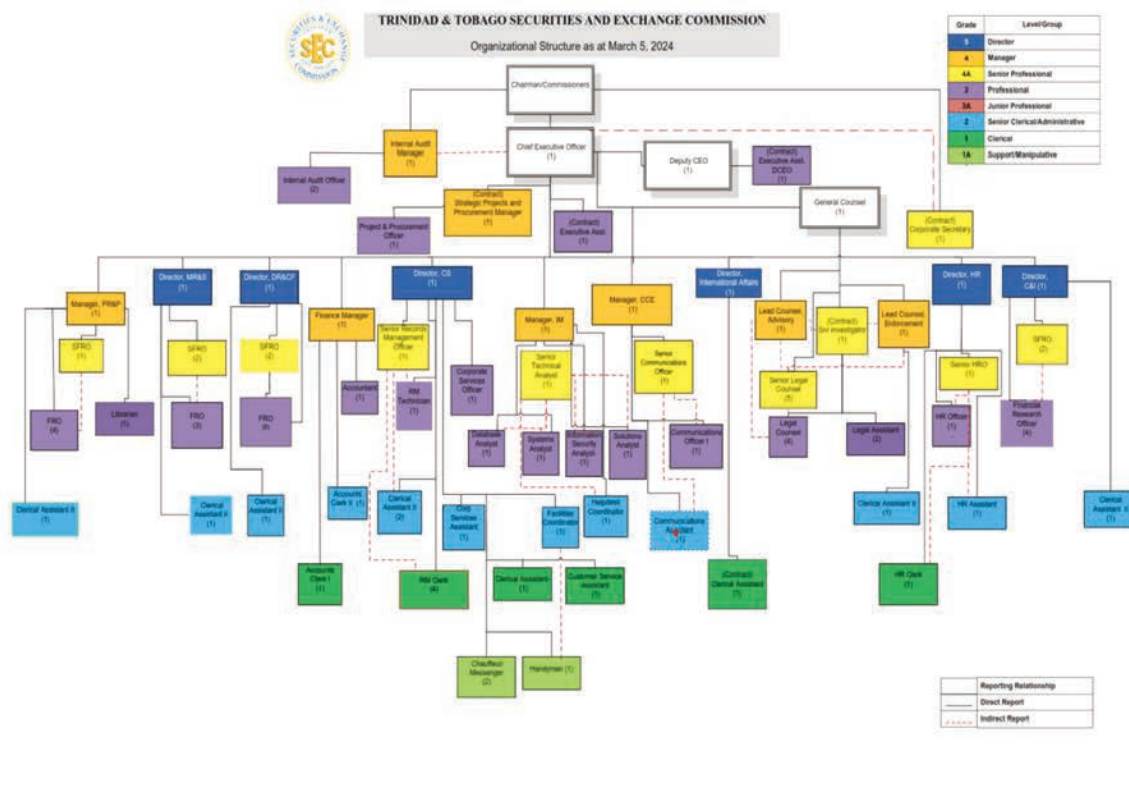
- (3) A market actor that is required to furnish information to the Commission in accordance with subsection (2) shall furnish the required information, within the time specified and verified in the manner specified by the Commission.

Structure of the Commission

The SA provides for the appointment of no less than five and no more than nine Commissioners to the Board of the Commission.

The Commissioners are drawn from amongst persons with expertise in legal, financial, business or administrative matters.

Approved Organizational Chart



Staff of the Commission

The Staff is appointed by the Commission and includes the Chief Executive Officer, Deputy Chief Executive Officer, General Counsel, the Heads of the existing Divisions and support staff.

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

Organisational Structure of the Commission

Board of Commissioners

The role of the Board of Commissioners of the Trinidad and Tobago Securities and Exchange Commission is to:-

- Approve registration applications;
- Issue prospectus receipts;
- Take enforcement action against any person for failing to comply with the Act;
- Investor protection;
- Recommend By-Laws to the Minister of Finance;
- Authorising Investigations;
- Holding administrative hearings; and
- Make Orders – including exemption orders.

The Board's responsibilities include:-

- supervising the financial affairs of the organization and approving procurement above a certain level (\$250,000.00)
- ensuring statutory compliance with all applicable laws and monitoring risks;
- overseeing the strategic planning process; and
- ensuring the organization is adequately staffed and monitoring the performance of the CEO.

Members of the Executive Team:

Chief Executive Officer

The Office of the Chief Executive Officer leads the Commission in creating an enabling environment for the growth and development of the local capital market, while establishing frameworks for minimizing systemic risk, promoting confidence within the market, and enhancing regulatory and enforcement cooperation with its local, regional and international regulatory counterparts. The Office of the Chief Executive Officer supports the Board in articulating and promoting a vision of what the Commission will achieve in line with its purpose, powers, authority, functions, deliverables and reporting and compliance requirements as conferred by the Securities Act, 2012 (the Act).

Deputy Chief Executive Officer

The Deputy Chief Executive Officer reports directly to the Office of the Chief Executive Officer. The Deputy Chief Executive Officer works closely with the Chief Executive Officer and provides support by assisting in the regulation of the securities industry to ensure the stability of the financial

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

system and the protection of investors, and the overall management and performance of the
Commission Office of the General Counsel

General Counsel

The General Counsel Heads Legal Division and the Legislative Review Team which has as one of its main functions, to draft/review all legislation, policies, and guidelines that fall within the ambit of the Commission.

Divisions/Departments:

(1) Compliance and Inspections

This is the newest Division of the Commission, which was established in 2014 as a result of the passage of the Securities Act 2012. Its main responsibilities are to:

- Conduct on-site inspections of registrants and self-regulatory organizations, whether routine, for cause or sweep inspections (*registrants include: self-regulatory organizations and persons registered under Part IV of the Act, i.e. broker-dealer, investment adviser, reporting issuer and underwriter*);
- Issue compliance directions, thus directing a registrant to take measures that are necessary to remedy any course of conduct that is contrary to generally accepted standards of conduct or prudent operation and behaviour; and
- Refer matters for legal enforcement in instances where a person fails to take measures as directed in a compliance direction.

In furtherance of its responsibilities, the Division's major objectives are to:

- Ensure that registrants are operating in compliance with the legislation;
- Help identify compliance problems and areas of emerging risk, which if they occur can adversely affect investors; and
- Review allegations of improper practices

(2) Corporate Services

The Corporate Services Division is responsible for managing and executing the following functions: administration, property management, procurement, records management, health and safety, fleet management and security services for the Commission.

(3) Corporate Communications and Education

The Department gets its mandate from Section 6 of the Securities Act 2012 and its goal is to educate and promote an understanding by the public of the securities industry and the benefits, risks, and liabilities associated with investing in securities.

The Department's mission is to promote informed investor decisions and the TTSEC's role as regulator, through all aspects of Corporate Communication, inclusive of Public Education and External Communication. The Division is also responsible for performing the Commission's Public Relations, protocol and Crisis Communication functions. In accordance with the IOSCO principles, the Department coordinates a multi-faceted Investor Education programme designed to provide investors and potential investors with suitable information to guide informed decisions.

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

(4) Disclosure, Registration and Corporate Finance

The Securities Act 2012 (“the Act”) entrusts the Commission with the responsibility to ensure that the requirements of Section 62 (1) of the Act are duly executed and that “no security shall be distributed or listed with any self-regulatory organization unless it is registered with the Commission”. DR&CF is responsible for the registration of all self-regulatory organisations, broker-dealers, reporting issuers and investment advisers as well as the securities that they provide. This Division:

- Reviews and processes applications of registrants and self-regulatory organizations.
- Reviews documentation to ensure compliance with the law and best practice.
- Approves the contents of prospectuses, offering circulars or any form of solicitation, advertisement or announcement by which securities are offered for sale to the public.
- Reviews filings by all registrants and self-regulatory organizations and assesses among other things the financial solvency of registrants and self-regulatory organizations.
- Maintains a register of securities registered by the Commission.
- Makes recommendations to the Commission for the suspension/revocation of registration when persons no longer satisfy the registration requirements.
- Identifies trends and issues that are likely to have an impact on the securities industry and makes appropriate policy recommendations.

(5) Finance

The finance department is responsible for both internal and external financial reporting, as well as annual budgeting. It is also responsible for the receipt and depositing of fees and penalties received from market registrants. Additionally, the department is also responsible for all disbursements made by the Commission. This includes

- Payroll and other staff related amounts.
- Disbursements to vendors, the comptroller of accounts and other third parties.

(6) Human Resource Management

The Human Resource Department is responsible for planning and executing a range of human resource strategies with line managers and plans, co-ordinates and implements the human resource management infrastructure that mutually satisfies the corporate objectives and employee needs.

(7) Information Management

The Information Management Division assesses, develops, manages and supports the technological and data requirements of the Commission’s internal and external users by establishing performance measures, business processes, business continuity planning and support, digital and online communications, telecommunications, enterprise resource planning and project management.

(8) International Affairs

This Division plays a key role in driving and supporting the development of policies and strategies to engage with regional, international and other key stakeholders in the securities markets.

(9) Internal Audit

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

Internal Audit is responsible for assessing the design and the effectiveness of the Commission's system of Internal Controls. This activity is also responsible for reporting compliance issues to the Executive Management and to the Board. The Internal Audit activity provides independent, objective assurance and consulting services that add value and improve the Commission's operations. It helps the Commission to accomplish its objectives by bringing a systematic, disciplined approach to the evaluation and improvement of risk management, controls and governance processes. The Commission's Internal Audit activity is guided by the Department's Charter and reports functionally to the Chairman of the Audit Committee and administratively to the CEO. Further, the Internal Auditors have no authority over, nor responsibility for, the activities they audit.

(10) Legal Division

This Division's key functions include a focus on the corporate strategic and tactical legal initiatives as well as the management of the Commission's legal function.

The Legal Division:

- Provides continuing counsel and guidance on legal matters and on legal implications of all matters.
- Serves as key lawyer/legal advisor on all major business transactions, including acquisitions, divestitures and joint ventures.
- Decides on selection, retention, management and evaluation of all external counsel.
- Organises and manages the company's internal legal function and staff.
- Assumes ultimate responsibility for ensuring that the Commission conducts its business in compliance with applicable laws and regulations.
- Recommends the commencement of investigations of securities law violations, by the Commission.
- Conducts investigations pursuant to Section 150 of the SA 2012 as amended, into market abuse, market manipulation, insider trading and other securities market contraventions.

(11) Market Regulation and Surveillance

The mandate of the Market Regulation & Surveillance Division is derived from the wider functions of the Commission as contained in Section 6 of the Securities Act, 2012, as amended ("the Act"). This Division:

- Maintains surveillance over the local, regional and international financial sector, (especially in the securities market).
- Ensures that market participants comply with their continuous disclosure obligations and reviews compliance with prudential and reporting standards.
- Conducts inquiries into suspected illegal market manipulation and/or brokerage activities.
- Monitors the operations of all Self-Regulatory Organizations registered by the Commission in order to determine their compliance with the applicable Acts and Rules that govern their participation in the Trinidad and Tobago capital market.
- This Division's purpose is to monitor the local capital market with a view to fostering compliance with the Securities Act, 2012 as amended and relevant provisions of other subsidiary legislation.

In broad terms, the activities of the Division are geared towards monitoring and evaluating the actions of registered or non-registered entities/persons with a view to determining whether such actions contravened, is contravening or may contravene the Act, any By-laws or other guidance issued by the Commission.

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED**(12) Policy, Research and Planning**

The Policy, Research and Planning Department is a centralised function which performs the following:

- Conducts research on matters related to the local and international securities markets;
- Collect and collates financial and statistical data on market activities;
- Utilises data intelligence to analyse and report on the economic and financial issues;
- Monitors the performance of market players and segments;
- Assesses the potential risks posed to the financial system stemming from activities;
- Provides planning and policy formulation capabilities to strengthen the Commission's capacity to discharge its functions.

The Department has a library that is considered a "Special Library" which houses a collection of books, materials and non-confidential information resources, physical and electronic, on the securities industry and related environments.

The Commission's Organisational Chart is readily available for public viewing on its website at <https://www.ttsec.org.tt/about-us/divisional-profiles/>

SECTION 7 (1) (a) (ii)**Categories of documents in the possession of the Commission****Files in respect of the following:**

- (1) General administrative documents for the routine functions of the Commission
- (2) Personnel records on staff appointments etc.
- (3) Accounting and financial management
- (4) Financial records (cheques, vouchers, receipts etc.)
- (5) The procurement of supplies, services and equipment
- (6) Correspondence
- (7) Circular Letters
- (8) Legislation including the SA, By-Laws and Guidelines
- (9) Legal opinions and related matters, legal instruments
- (10) Minutes of Commission meetings

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

- (11) Annual reports
- (12) Prospectuses
- (13) Registers
- (14) Documents required to be filed by Registrants under the SA
- (15) Books, journals, pamphlets and brochures
- (16) Newspaper clippings
- (17) Periodicals including the Securities Market Bulletin
- (18) Conferences hosted by the Commission
- (19) Approved list of Designated Foreign Jurisdictions (up-dated quarterly).
- (20) Commission policies and procedures
- (21) Customer Complaints
- (22) Customer-related policies, procedures reports and files
- (23) Regulatory Audits
- (24) News Releases, Public Statements etc

SECTION 7 (1) (a) (iii)**Materials prepared for public inspection**

- (1) The Securities Act Chap. 83:02 of the Laws of the Republic of Trinidad and Tobago
- (2) Securities (General) By-Laws, 2015
- (3) The Securities Industry Act, 1995 and Securities Industry By-Laws, 1997
- (4) The Securities Industry (Take-Over) By-Laws, 2005
- (5) Guidelines to the market on specific matters concerning the securities industry
- (6) Annual Reports of Reporting Issuers
- (7) Annual Reports of the Commission
- (8) Prospectuses receipted by the Commission
- (9) Papers for public comment on:
 - Information Memorandum Guidelines
 - The regulatory treatment of Deferred Tax Annuity/Savings plans
- (10) Securities Industry (Hearing and Settlements) Practice Rules, 2008
- (11) Register of registrants
- (12) Register of securities
- (13) Inception, Interim and Final report of *Stikeman Elliott LLP* in respect of the review and revision of the SIA 1995 and related by-laws and associated legislation
- (14) Collective Investment Schemes (CIS) data and statistics
- (15) CIS By-Laws
- (16) Annual Procurement Plan

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UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

Materials may be inspected or obtained at the Commission's Library which is located at: Level 22, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain or through our website. You can also make inquiries by contacting us via:-

Telephone: 624-2991

Fax: 624-2995

E-mail: ttsec@ttsec.org.tt

Website: www.ttsec.org.tt

The library is opened from 8:00 a.m. – 4 p.m. with an hour closure between the hours of 11:00 a.m. to 12:00 p.m.

SECTION 7 (1) (a) (iv)**Literature available by subscription: -**

1. Annual Reports of the Commission; and
2. Legislation (SA and the Securities (General) By-Laws)

SECTION 7 (1) (a) (v)

Procedure to be followed for accessing documents from the Commission, when a Freedom of Information ("**FOI**") request is made.

How to request information**• General procedure**

Our policy is to answer all requests for information whether made orally or in writing. However, to have rights given to you by the FOIA, ***you must make your request in writing***. The applicant must therefore complete the "**Request for access to official documents**" form, available at our reception desk, for information that is not readily available to the public.

Addressing Requests

To facilitate prompt handling of your request, please address it to the Designated Officer of the Commission (see **Section 7 (1) (a) (vi)** of the FOIA).

• Details to be included in the request

Applicants should provide details that will allow for ready identification and location of requested records. If you are not sure of how you should write your requests or what details to include, communicate with our Designated Officer.

Right of Access to Information – Except

In accordance with Section 12 of the FOIA, a person is not entitled to obtain, in accordance with the procedure provided for this Part, access to –

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

- (a) a document which contains information that is open to public access, as part of a public register or otherwise, in accordance with another written law, where that access is subject to a fee or other charge;
- (b) a document which contains information that is available for purchase by the public in accordance with arrangements made by the public authority;
- (c) a document that is available for public inspection in a registry maintained by the Registrar General or other public authority;
- (d) a document which is stored for preservation or safe custody, being a document which is a duplicate of a document of a public authority.

Responding to requests

• **Retrieving documents**

The Commission is required to furnish copies of documents only when they are in our possession or we can retrieve them from storage.

• **Furnishing documents**

An applicant is entitled to copies of information in our possession, custody or power. We are required to furnish one copy of a document. If we cannot make a legible copy of a document to be released, we shall not attempt to construct it. Instead we will furnish the best copy possible and note the quality in our reply.

Please note that the Commission is not compelled to do the following:

- (a) Create new documents
- (b) Perform research for the applicant

Time Limits

• **General**

The Commission will determine whether to grant your request for access to information ***as soon as practicable but no later than thirty calendar days*** as required by Section 15 of the FOIA. If a decision is taken to grant access to the information requested, you will be provided with copies, or if you so request, permitted to inspect the document/s.

• **Fees and refunds**

The Freedom of Information (Fees and Charges) Regulations prescribe the fees to be incurred in making the documents available. Where such fees are payable, the applicant is entitled to receive the documents within seven days of payment of the relevant fee. If the Commission fails to provide the information within the seven day period, you are entitled to a refund of the fees paid, in addition to free access to the documents requested.

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

SECTION 7 (1) (a) (vi)**Officers at the Commission responsible for:**

- (1) The initial receipt of an action upon notices under Section 10 of the FOIA;
- (2) Requests for access to documents under Section 13 of the FOIA; and
- (3) Applications for corrections of personal information under Section 36 of the FOIA.

Designated Officer:**Ms. Glenis Potts****Lead Counsel, Advisory**

LEVEL 23, TOWER D

INTERNATIONAL WATERFRONT CENTRE

1 WRIGHTSON ROAD,

PORT OF SPAIN,

TRINIDAD, W.I.

Telephone: 624-2991

Fax: 624-2995

Email: Glenisp@ttsec.org.tt**Alternate:****Ms. Amala Cameron****Library Technician**

LEVEL 23, TOWER D

INTERNATIONAL WATERFRONT CENTRE

1 WRIGHTSON ROAD,

PORT OF SPAIN,

TRINIDAD, W.I.

Telephone: 624-2991

Fax: 624-2995

Email: AmalaC@ttsec.org.tt**SECTION 7(1) (a) (vii)****Advisory Boards, Councils, Committees, and other bodies (where minutes/meetings are open to the public)**

At this time, there are no bodies in the Commission that fall within the meaning of this Section of the FOIA.

SECTION 7 (1) (a) (viii)**Library/Reading Room Facilities**

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

Information in the public domain can be accessed from the Commission's Library which is located at: Level 23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain or via our website at www.ttsec.org.tt. You can also make enquiries by contacting us at 624-2991.

Office Hours:

8:00 am – 4:00 pm Monday to Friday.

SECTION 8

Section 8: Certain documents to be available for inspection and purchase.

SECTION 8 (1)

Documents provided by the Commission for the use or guidance of its officers:

- (1) The Securities Act, Chap. 83:02 of the Laws of the Republic of Trinidad and Tobago;
- (2) Securities (General) By-Laws, 2015;
- (3) The Securities Industry Act, 1995 and Securities Industry By-Laws, 1997;
- (4) Securities Industry (Take-Over) By-Laws, 2005;
- (5) The Securities Industry (Hearings and Settlements) Practice Rules, 2008;
- (6) Guidelines on specific matters concerning the securities industry;
- (7) Trinidad and Tobago Securities and Exchange Commission Tender Rules

Section 8 (1)(a)(i)

1. Guidelines for repurchase agreements (Repos)
2. Guidelines for disclosure of Price Sensitive information
3. Share Repurchase guidelines
4. Underwriting guidelines for issuers of Asset Backed securities
5. Prospectus Guidelines for Public Offerings
6. Amended Repurchase Agreements Guidelines
7. AML-CFT Guidelines
8. Guideline for De-Registration of Reporting Issuers and CIS
9. TTSEC Guidelines for the Tax Information Exchange Agreement (United States of America) Act
10. Material Change Guidance;
11. Market Guidance I - Contents of Constituent Documents for Collective Investment Schemes;
12. Market Guidance II- Promotion and Presentation Standards for Collective Investment Schemes;
13. Market Guidance III - Key Facts Statement for Collective Investment Schemes;
14. Market Guidance IV - Contents of The Termination Plan for Collective Investment Schemes

Section 8 (1) (a) (ii)

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

1. TTSEC Hearing and Settlement Rules;
2. TTSE Bond Trading Rules;
3. TTSE SME Rules;
4. Statement of Substance and Purpose Proposed Small & Medium Enterprises (SME) Mentorship Rules & Proposed Special Market Listing Requirement- SME Market Rule;
5. Decisions / Settlements of the Commission may be obtained via the following link:
https://www.ttsec.org.tt/legal-framework/?_sft_category=decisions

Section 8 (1) (b)

The Commission is possession of no documents which meet this criteria.

SECTION 9**Section 9 – Statement of possession of certain documents to be published****Section 9 (1) (a)**

A report or a statement containing the advice or recommendations, of a body or entity established within the TTSEC:

This section does not apply to the Commission at this time.

Section 9 (1) (b)

A report or statement or report containing the advice or recommendations, (1) of a body or entity established outside the TTSEC by or under written law, (2) or by a Minister of Government or other public authority for the purpose of submitting a report or reports, providing advice or making recommendations to the TTSE or to the responsible Minister of that public authority:

This section is not applicable to the Commission at this time.

Section 9 (1) 9 (c)

A report or statement containing the advice or recommendations, or an interdepartmental committee whose membership includes an officer of the TTSEC:

- *Evaluation Reports of Tenders 2020-2023*

Section 9 (1) (d)

A report or a statement containing the advice or recommendation of a committee established within the TTIFC to submit a report, provide advice, or make recommendations to the responsible Minister of the TTSEC or to another officer of the TTSEC who is not a member of the committee:

This section does not apply to the Commission at this time.

Section 9 (1) (e)

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED

A report (including a report concerning the results of studies, surveys or tests) prepared for the TTSEC by a scientific or technical expert, whether employed with the TTSEC or not, including a report expressing the opinion of such an expert on the scientific or technical matters:

This section does not apply to the Commission at this time.

Section 9 (1) (f)

A report prepared for the TTSEC by a consultant who is paid for preparing the report:

(1) Inception, Interim and Final Report of Stikeman Elliott LLP in respect of the review and revision of the SIA, 1995 and related By-Laws and associated legislation:

- (i) Inception report-November 18, 2002;
- (ii) Interim report-December 19, 2003; and
- (iii) Final report – November 30, 2004.

SECTION 9 (1) (g)

A report prepared within the public authority and containing the results of studies, surveys or tests carried out for the purpose of assessing, or making recommendations on, the feasibility of establishing a new or proposed Government policy, programme or project.

This section is not applicable to the Commission at this time

Section 9 (1) (h):

A report on the performance or efficiency of the TTSEC, or of an office division or branch of the TTSEC, whether the report is of a general nature or concerns a particular policy, programme or project administered by the TTSEC:

- **Annual Reports – 2020-2023**
- **Strategic Plans 2020-2023**

Section 9 (1) (i)

A report containing final plans or proposals for the re-organization of the functions of the TTSEC, the establishment of a new policy, programme or project administered by the TTSEC, or the alteration of an existing policy, programme or project administered by the TTSEC, whether or not the plans or proposals are subject to approval by an officer, or the TTSEC, or another public authority, the responsible Minister of the TTSEC, or Cabinet:

- **Strategic Plans 2020-2023**

1035—Continued

UPDATED PUBLIC STATEMENT OF THE TRINIDAD AND TOBAGO SECURITIES AND
EXCHANGE COMMISSION 2024—CONTINUED**Section (9)(1)(j):**

A statement prepared by the TTSEC and containing policy directions for the drafting of legislation:

This section is not applicable to the Commission at this time.

Section 9(1)(k):

A report of a test carried out withing the TTSEC on a product for the purpose of purchasing equipment:

This section is not applicable to the Commission at this time.

Section 9 (1) (l):

An environmental impact statement prepared within the TTSEC:

- TTSEC Indoor Air Quality Report for Levels 22 & 23 at Tower D as at September 2022; and
- TTSEC Indoor Air Quality Report for Levels 22 & 23 at Tower D as at November 2023.

Section 9 (1) (m):

A valuation report prepared for the TTSEC by a valuator, whether or not the valuator is an Officer of the TTSEC:

This section is not applicable to the Commission at this time.



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of Section 136(1) of the Securities Act Chapter 83:02 of the
Laws of the Republic of Trinidad and Tobago**

By

Avinash Bissessar

ORDER OF THE COMMISSION

Dated this 18th day of April, 2024

WHEREAS of Section 136(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, (**“the Act”**) requires a Reporting Issuer to file a Form 22 with the Commission within the prescribed timeframe;

AND WHEREAS Mr. Avinash Bissessar (**“the Respondent”**), being a person connected to JMMB Group Limited, filed a Form No. 22 Notification with the Trinidad and Tobago Securities and Exchange Commission (**“the Commission”**), past the due date of March 08, 2023;

AND WHEREAS staff of the Commission (**“the Staff”**) advised the Respondent that he was in contravention of Section 136(1) of the Act by failing to file the Form No. 22 Notification within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that he was in contravention of Section 136(1) of the Act and entered into a settlement agreement with the Staff (**“the Settlement Agreement”**);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners the Respondent shall pay to the Commission the sum of Twenty-Three Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$23,800.00) within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated November 29, 2023 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Mr. Avinash Bissessar (“the Respondent”) has contravened Section 136(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Twenty-Three Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$23,800.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Trinidad and Tobago Housing Development Corporation

ORDER OF THE COMMISSION

Dated this 18th day of April, 2024

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) impose certain obligations on registrants with respect to the filing of Interim Financial Statements;

AND WHEREAS the Trinidad and Tobago Housing Development Corporation (“the Respondent”), filed its Interim Financial Statements for the interim periods ended June 30, 2020 and June 30, 2021, past the due dates of September 1, 2020 and August 30, 2021, respectively;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act, by failing to file the aforementioned documents with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned documents with the Commission past the said due dates and agreed to pay the consequential administrative fines;

AND UPON the Board of Commissioners considering the submissions in this matter and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago Housing Development Corporation (“the Respondent”) has contravened 66(1) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Seventeen Thousand Trinidad and Tobago Dollars (TT\$17,000.00) within twenty-eight (28) days of making this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of a Contravention of Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 47(c) of the Securities (General) by Law 2015

By

The Sports Company of Trinidad and Tobago Limited

ORDER OF THE COMMISSION

Dated this 24th day of June, 2024

WHEREAS Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (**"the Act"**) and By-Law 47(c) of the Securities (General) By-Laws, (**"the By-Laws"**) requires a Reporting Issuer to file its Annual Report with the Commission within the prescribed timeframe;

AND WHEREAS The Sports Company of Trinidad and Tobago Limited (**"the Respondent"**) filed with the Commission its Annual Report for the financial year ended September 30, 2023, past the due date of January 29, 2024;

AND WHEREAS staff of the Commission (**"the Staff"**) advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws and entered into a settlement agreement with the Staff (**"the Settlement Agreement"**);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners the Respondent shall pay to the Commission the sum of Twenty-

One Thousand Four Hundred Fifty Trinidad and Tobago Dollars (TT\$21,450.00) within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the terms in the Settlement Agreement dated 7th day of May 2024 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. The Sports Company of Trinidad and Tobago Limited (“**the Respondent**”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty-One Thousand Four Hundred Fifty Trinidad and Tobago Dollars (TT\$21,450.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of a Contravention of Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 47(c) of the Securities (General) by Law 2015

By

Trinidad Cement Limited

ORDER OF THE COMMISSION

Dated this 24th day of June, 2024

WHEREAS Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("**the Act**") and By-Law 47(c) of the Securities (General) By-Laws, ("**the By-Laws**") requires a Reporting Issuer to file its Annual Report with the Commission within the prescribed timeframe;

AND WHEREAS Trinidad Cement Limited ("**the Respondent**") filed with the Commission its Annual Report for the financial year ended December 31, 2022, Past the due date of May 1, 2023;

AND WHEREAS staff of the Commission ("**the Staff**") advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws and entered into a settlement agreement with the Staff ("**the Settlement Agreement**");

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioner the Respondent shall pay to the Commission the sum of Twenty-four Thousand Two Hundred Trinidad and Tobago Dollars (TT\$24,200.00) within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated 16th day of May 2024 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad Cement Limited (“the Respondent”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Twenty-Four Thousand Two Hundred Trinidad and Tobago Dollars (TT\$24,200.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of Section 65(1) of the Securities Act Chapter 83:02 of the
Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of
the Securities (General) by Law 2015**

By

Trinidad Cement Limited

ORDER OF THE COMMISSION

Dated this 24th day of June, 2024

WHEREAS of Section 65(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (**“the Act”**) and By-Law 48(1) of the Securities (General) By-Laws 2015 (**“the By-Laws”**) requires a Reporting Issuer to file its Audited Annual Comparative Financial Statements with the Commission within the prescribed timeframe;

AND WHEREAS Trinidad Cement Limited (**“the Respondent”**) filed with the Commission its Audited Annual Comparative Financial Statements for the financial year ended December 31, 2022, past the due date of March 31, 2023;

AND WHEREAS staff of the Commission (**“the Staff”**) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 65(1) of the Act and By-Law 48(1) By-Laws and entered into a settlement agreement with the Staff (**“the Settlement Agreement”**);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission the sum of TwentyFive Thousand Three Hundred Trinidad and Tobago Dollars (TT\$25,300.00) within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated 16th day of May 2024 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad Cement Limited ("**the Respondent**") has contravened Section 65(1) of the Act By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Twenty-Five Thousand Three Hundred Trinidad and Tobago Dollars (TT\$25,300.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Antoine
Corporate Secretary



Government of the Republic of Trinidad and Tobago
Ministry of Public Administration

Government of the Republic of Trinidad and Tobago Public Statement 2023 of the Ministry of Public Administration In compliance with sections 7, 8 and 9 of The Freedom of Information Act (FOIA) 1999

In accordance with Sections 7, 8 and 9 of the Freedom of Information Act, 1999 (FOIA) the Ministry of Public Administration is required by law to publish; and annually update the statements which list the documents and information generally available to the public.

The Freedom of Information Act gives members of the public:

1. A legal right for each person to access information held by the Ministry of Public Administration;
2. A legal right for each person to have official information relating to himself /herself amended where it is incomplete, incorrect or misleading;
3. A legal right to obtain reasons for adverse decisions made regarding an applicant's request for information under the FOIA; and
4. A legal right to complain to the Ombudsman and to apply to the High Court for Judicial Review to challenge adverse decisions made under the FOIA.

The following information is published with the approval of the Minister of Public Administration.

Section 7 Statements

Section 7 (1) (a) (i)

Function and structure of the Ministry of Public Administration (MPA): -

The Public Statement of the Ministry of Public Administration (MPA) for the period January 2022 to December 2022 was last published in June 2023 in accordance with Section 7 of the FOIA. The former Ministry of Public Administration and Digital Transformation (MPADT) was re-designated as the Ministry of Public Administration in July, 2021 in accordance with Gazette Notice No. 111 of July 19, 2021. This Public Statement covers the period January 2023 to December 2023.

The Ministry has submitted its Strategic Plan for the period October 1, 2022 to September 31, 2025 to Cabinet for consideration and approval. The MPA's Strategic Plan for fiscals 2023 to 2025 outlines a programme that seeks to:

- Create an Agile and Client-focused Public Service;
- Enable a Proactive Public Service culture;
- Champion a Results-Oriented and Performance-driven Public Service.

The proposed Vision of the MPA is:

"MPA is your trusted and recognized leader for public service excellence".

The proposed Mission of the MPA is:

We proactively partner with our stakeholders to continuously develop the Trinidad and Tobago Public Service by strengthening the workforce, providing safe and comfortable accommodation solutions and enabling digital services that work.

The MPA comprises the following Divisions:

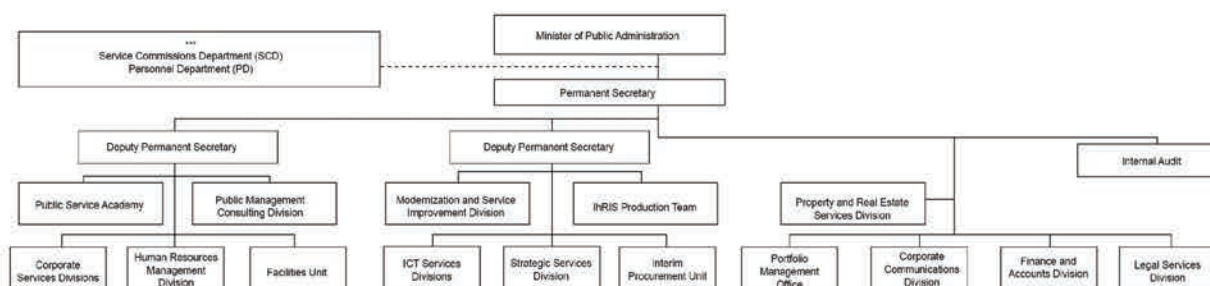
1. Core Divisions:

- Integrated Global Payroll (IGP)/Integrated Human Resource Information System (iHRIS)
- Modernization and Service Improvement Division
- Property and Real Estate Services Division
- Public Management Consulting Division
- Public Service Academy

2. Support Divisions/Units:

- Corporate Communications Division
- Corporate Services Division
- Executive Secretariat
- Facilities Unit
- Finance and Accounts
- Human Resource Management Division
- Information and Communications Technology (ICT) Services Division
- Internal Audit
- Interim Procurement Unit
- Legal Services Division
- Portfolio Management Office (PMO)
- Strategic Services Division

Ministry of Public Administration Organisational Chart



***Departments that report to the Minister of Public Administration *The Strategic Services Division has responsibility for Freedom of Information

PUBLIC STATEMENT 2023 OF THE MINISTRY OF PUBLIC ADMINISTRATION—CONTINUED

Section 7 (1) (a) (i)

Functions of the Divisions of the Ministry of Public Administration:

CORE DIVISIONS

Integrated Global Payroll (IGP) Integrated Human Resource Information System (ihRIS) was established to support all Ministries in the Public Service in Workforce Administration, Recruitment, Salary Administration Base Benefits, Position Management, Business Rules, Labour Relations, Training Administration, Health and Safety, Performance Management, Competency Management, Succession Planning and Employee Relations.

The **Modernization and Service Improvement Division's (MSID)** strategic objective is to facilitate a fit-for-purpose and client-centric public service. Accordingly, the MSID develops policies, guidelines and standards, facilitates service improvement in key public services and establishes and operates whole-of-government solutions.

The **Property and Real Estate Services Division (PRESID)** is responsible for providing mainly office and, to a lesser extent, residential accommodation in accordance with statutory, policy and user requirements for Government Ministries, Departments and Agencies. It manages the portfolio of state-owned properties towards ensuring that these are developed, utilised and maintained to achieve maximum socio-economic returns from assets. The Division has an advisory role to the State on property matters.

The **Public Management Consulting Division (PMCD)** provides management consulting services to the Cabinet, Government Ministries, Departments and Agencies. Its primary mandate is the review of submissions from Ministries relating to their organizational structures.

The **Public Service Academy (PSA)** is the agency with the primary responsibility for training and development within the Public Service. It aims to maximise the human potential for excellence and high performance through the provision of flexible learning opportunities in collaboration with its strategic partners. The Academy is charged with the responsibility of reviewing the Training Plans of Ministries and Departments. The Academy also administers offers of Technical Cooperation Training from foreign governments and international agencies.

SUPPORT DIVISIONS/UNITS

Corporate Communications Division is responsible for developing and guiding the Ministry's Corporate Communications Strategy. Its activities include Internal Communications, Public Relations, Media Relations, Events Management, Branding, Crisis and Issues Management. The Division's primary purpose is to enhance the image and reputation of the Ministry and to help establish and maintain beneficial dialogue and understanding between the Ministry and its main stakeholders both internally and externally.

Corporate Services Division provides a range of administrative and internal services (office management, file/document registry, and customer service) that support the business goals of the Ministry and contributes to its efficiency.

The **Executive Secretariat** comprises the Honourable Minister, Minister in the Ministry, the Permanent Secretary, the Deputy Permanent Secretary and the Administrative Support Teams who assist them. The Executive Secretariat is responsible for the oversight of all strategic initiatives, projects and financial decisions within the Ministry, which includes reviews and submission of Notes for the consideration of Cabinet which have been prepared by Divisions/Units and receives and distributes Cabinet Minutes for action or information within the Ministry.

Facilities Unit provides services and support related to the maintenance, upgrades, asset management and security of property occupied by the Ministry.

Finance and Accounts Division is responsible for the financial management practices and procedures of the Ministry. The Division processes payments for suppliers and payroll for employees with the attendant financial recording. The Division also coordinates the submission of budgets and prepares the Ministry's financial statements.

The **Human Resource Management Division** aims to enhance the Ministry's effectiveness and capability to provide excellent client and customer services by retaining and building a human resource skills/knowledge/behaviour base which can deliver on the Ministry's mandate. The Division has as its core functions recruitment of contract personnel, performance management, organisational development, training and development and employee relations.

Information Communication Technology Services Division is responsible for coordinating and providing strategic and operational support for internal Information and Communications Technology projects and systems.

Internal Audit ensures that there is accountability, efficiency and transparency in the financial operations of the Ministry. The Unit is responsible for audit reviews of the Ministry's activities and accounts.

The **Interim Procurement Unit** was established in October 2017 in preparation for the full proclamation of the Procurement Act No. 1 of 2015. The Unit is responsible for the prequalification of suppliers and the procuring of all goods and services for the Ministry.

Legal Services Division performs general transactional legal work and provides legal advice and support to the Ministry, its various Divisions/Units, and other agencies which fall under its purview.

Portfolio Management Office (PMO) was established on March 22, 2021 and is responsible for the delivery of the Ministry's strategic and mission critical projects inclusive of the Development Programme. This is advanced through the adoption of a standard approach to portfolio, program and project management. The PMO further ensures key information is exchanged seamlessly between the Executive, Heads of Divisions/Units and other stakeholders to effectively prioritise, make investment decisions and manage the Ministry's portfolio of projects.

Strategic Services Division is responsible for coordinating the Ministry's Strategic Plan and developing various internal policies. The Division collaborates with the other Divisions/Units in the Ministry and agencies under the purview of the Ministry to monitor and evaluate/measure performance and meet statutory reporting requirements.

Section 7 (1) (a) (ii)

Categories of Documents maintained by the Ministry of Public Administration:

a. Files, Records, Manuals, Documents:

1. Files dealing with administrative support and general administrative documents and records (including logs) for the operations of the Ministry.
2. Personnel files, which detail all staff appointments, job applications, job specifications, promotions, transfers, resignations, deaths, retirements, leave, vacation etc.
3. Files dealing with the accounting and financial management function of the Ministry
4. Files dealing with circulars, memoranda, notices, bulletins
5. Files dealing with official functions, conferences and events hosted
6. Financial Records (cheques, vouchers, receipts, journals, note books, salary records etc.)
7. Files dealing with matters relating to the procurement of supplies, services and equipment
8. Internal and external correspondence files
9. Customer files
10. Complaint/suggestion files
11. Inventory Management files

PUBLIC STATEMENT 2023 OF THE MINISTRY OF PUBLIC ADMINISTRATION—CONTINUED

<p>12. Records and documents relating to the Strategic Review of the Ministry, its Divisions and Units</p> <p>13. Contracts, agreements, leases, deeds, concessions and licences</p> <p>14. Legal opinions and related matters</p> <p>15. Minutes/Agenda of Meetings attended by the Ministry of Public Administration</p> <p>16. Archival documents</p> <p>17. Cabinet documents</p> <p>18. Policy and Procedure Documents</p> <p>19. Acts and Gazettes</p> <p>20. Manuals</p> <p>21. Training Files</p> <p>b. Publications:</p> <ol style="list-style-type: none"> 1. Periodicals and publications 2. Newsletters 3. Surveys 4. Reports <p>c. Forms:</p> <ol style="list-style-type: none"> 1. Freedom of Information Act Request Forms 2. Application for Accommodation Forms 3. Request for Site Visit Forms 	<p>2019:</p> <ul style="list-style-type: none"> • Public Statement 2019 of the MPA <p>2018:</p> <ul style="list-style-type: none"> • Policy Electronic Records Management for GORTT International Presences • Policy on e-Government interoperability Framework. • ICT Blueprint 2018-2022 <p>2017:</p> <p>-----</p> <p>2016:</p> <ul style="list-style-type: none"> • Public Statement 2016 of MPAC <p>2015:</p> <ul style="list-style-type: none"> • Ministry of Science and Technology Annual Report 2015 • Business Continuity Management Policy 2015 • Simplifying Lives, Quality and Satisfaction in Public Services, IDB Report 2015 • Business Continuity Management Strategy for the Public Service 2015 <p>2014:</p> <ul style="list-style-type: none"> • Ministry of Public Administration Annual Report 2013-2014 • smarTT – National ICT Plan – 2014 – 2018 • ICT Initiatives and Procurement – Circular Memorandum <p>2013:</p> <ul style="list-style-type: none"> • Ministry of Public Administration Annual Report 2012 – 2013 • Ministry of Public Administration Freedom of Information Act Statement – 2013 <p>2012:</p> <ul style="list-style-type: none"> • Ministry of Public Administration Annual Report 2011 – 2012 • GoRTT Office Outfitting Policy 2012 <p>2011:</p> <ul style="list-style-type: none"> • Ministry of Public Administration Annual Report 2010 – 2011 • Ministry of Public Administration Annual Report 2009 – 2010 <p>2010:</p> <p>2009:</p> <ul style="list-style-type: none"> • Ministry of Public Administration Annual Report 2008-2009 • Opinion Leaders Panel 2009, Wave 13 Report <p>2008:</p> <ul style="list-style-type: none"> • Ministry of Public Administration Annual Report 2007-2008 • Opinion Leaders Panel 2008, Wave 9 Report <p>Other information that can be accessed at the Ministry's Website include:</p> <ul style="list-style-type: none"> • Media Releases • Speeches made by the Honourable Minister of Public Administration • Print Notices • Videos • Information on the services provided to citizens and Government Organizations: <ul style="list-style-type: none"> » PSA Training Course Schedules and Technical Cooperation Programmes Schedules » Government Property and Real Estate Services » Public Sector Organizational Design and Development » Transformation Initiatives » Telecom Regulations » National ICT
<p>Section 7 (1) (a) (iii) Material prepared for publication or inspection</p> <p>The public may inspect and/or obtain copies of material between the hours of 8:30 a.m. to 3:30 p.m. on normal working days at the following office:</p> <p>Ministry of Public Administration (Head Office) Levels 5-7 National Library Building Corner Hart and Abercromby Streets, Port of Spain Telephone: 623-4724 Fax: 623-6027</p> <p>The following (additional) resources may be accessed at the website: Ministry of Public Administration (mpa.gov.tt)</p> <p>Publications:</p> <p>2023:</p> <ul style="list-style-type: none"> • Client/Visitor Dress Code Policy for Government of the Republic of Trinidad and Tobago (GoRTT) Offices • Annual Schedule of Planned Procurement Activities FY2023-2024 • Ministry of Public Administration Annual Report 2019 • Ministry of Public Administration Annual Report 2018 • Ministry of Public Administration and Communications Annual Report 2016-2017 • Ministry of Public Administration and Communications Annual Report 2015-2016 • Ministry of Public Administration Annual Report 2014-2015 <p>2022:</p> <ul style="list-style-type: none"> • 3rd Anniversary GovPoP • Public Statement 2021 December of the MPA • Public Statement 2018 of the MPA • Public Statement 2018 of the MPAC • Public Statement 2017 of the MPAC • Public Statement 2021 July of the MPADT <p>2021:</p> <ul style="list-style-type: none"> • 2nd Anniversary GovPoP <p>2020:</p> <ul style="list-style-type: none"> • Public Statement 2020 of the MPA • GoRTT Cloud Computing Policy • GovPoP 1st Anniversary 	

PUBLIC STATEMENT 2023 OF THE MINISTRY OF PUBLIC ADMINISTRATION—CONTINUED

<p>Section 7(1) (a) (iv) Literature available by Subscription:</p> <p>The Ministry of Public Administration has no literature available by way of subscription.</p>	<p>Section 7 (1) (a) (vii) Advisory Boards, Councils, Committees, and other bodies (Where meetings/minutes are open to the public): At the present time, there are no bodies that fall within the meaning of this section.</p>		
<p>Section 7 (1) (a) (v) Procedure to be followed when accessing a document from the Ministry of Public Administration: General Procedure</p> <p>In order to have the rights given to applicants by the FOI Act (for example the right to challenge a decision if one's request for information is refused), the request must be made in writing. The Request for Access to Official Documents form, can be accessed at the Ministry's Reception/Lobby areas or it may be downloaded from the website www.foia.gov.tt. The relevant information that must be provided to the Ministry includes:</p> <ul style="list-style-type: none"> • Name of Applicant (full name preferred) • Contact information • Information requested and format to provide the information • Date of request • Signature of applicant • Applications should be addressed to the Designated Officer of the Ministry (see Section 7 (1) (a) (vi)). <p>Applicants should provide details that will allow for ready identification and location of the records that are being requested. If insufficient information is provided, clarification will be sought from the applicant. If applicants are not sure how to write a request or uncertain about the details to include, they should communicate with our Designated Officer/s.</p> <p>The applicant will be contacted within thirty (30) days of the receipt of the request by the Ministry (that is, the received stamp date) and the applicant will be notified by the Designated Officer that the request has been received and is being considered. After determining if the request can be made available to the applicant (approval), the applicant is informed and given a time period in which the information will be disclosed. If it is determined that the request cannot be disclosed (refusal) then the applicant is informed of the refusal and the rights of the applicant according to Section 38A and 39 of the FOI Act.</p> <p>Requests not handled under the FOIA In accordance with Section 12 of the FOI Act, requests under the FOIA that will <u>not</u> be processed are as follows:</p> <ol style="list-style-type: none"> a. Documents which contain information which is open to public access, as part of a public register; b. Documents which contain information that is available for purchase by the public; c. Documents that are available for public inspection in a registry maintained by the Registrar General or other public authority; d. Documents which are stored for preservation or safe custody. 	<p>Section 7 (1) (a) (viii) Library/Reading Room Facilities: Any applicant requesting to view information can make general enquiries by calling the Designated Officer/s listed under Section 7 (1) (a) (vi). Arrangements will be made to accommodate the applicant from Mondays to Fridays between the hours of 8:30 a.m. to 3:30 p.m.</p> <p>The Policy of the MPA with respect to the provision of copies of documents that are readily available to the public is as follows: -</p> <ul style="list-style-type: none"> • Provision of documents may be subject to a charge to cover administrative costs. • No smoking, eating or drinking is allowed in the space provided. <p>Section 8 (1) (a) (i) Documents containing interpretations or particulars of written laws or schemes administered by the MPA, not being particulars contained in another written law:</p> <p>At the present time, there are no documents that fall within the meaning of this section.</p> <p>Section 8 (1) (a) (ii) Manuals, rules of procedure, statements of policy, records of decisions, letters of advice to persons outside the MPA, or similar documents containing rules, policies, guidelines, practices or precedents:</p> <p>Policies are contained in list of Publications that can be accessed on the Ministry's website.</p> <p>Section 8 (1) (b) In enforcing written laws and schemes administered by the MPA where a member of the public might be directly affected by that enforcement, being documents containing information on the procedures to be employed or the objectives to be pursued in the enforcement of, the written laws or schemes:</p> <p>There are no documents to be published under this subsection at this time.</p> <p>Section 9 (1)</p> <p>Section 9 (1) (a) A report or a statement containing the advice or recommendations, of a body or entity established within the MPA.</p> <p>There are no statements to be published under this subsection at this time.</p> <p>Section 9 (1) (b) A report or a statement containing the advice or recommendations, (1) of a body or entity established outside the MPA by or under a written law, (2) or by a Minister of Government or other public authority for the purpose of submitting a report or reports, providing advice or making recommendations to the MPA or to the responsible Minister of that public authority.</p> <p>There are no statements to be published under this subsection at this time.</p> <p>Section 9 (1) (c) A report or statement containing the advice or recommendations, of an inter-departmental Committee whose membership includes an officer of the MPA. There are no statements to be published under this subsection at this time.</p>		
<p>Section 7 (1) (a) (vi) Officers in the Ministry responsible for:</p> <ol style="list-style-type: none"> 1. The initial receipt of and action upon notices under Section 10; 2. Requests for access to documents under Section 13; and 3. Applications for correction of personal information under Section 36. <p>The Designated Officers for the Ministry are:</p> <table border="0"> <tr> <td>Ms. Charlene Jeffrey-Rodriguez (Designate) Research Officer II (Ag.) Ministry of Public Administration Level 5, National Library Building Corner Hart and Abercromby Streets Port-of-Spain Tel: 623-4724 ext. 32007 Email: foimatters@gov.tt</td> <td>Ms. Anisha Pooransingh (Alternate) Administrative Officer II (Ag.) Ministry of Public Administration Public Management Consulting Division. Corner Park & St. Vincent Streets Port-of-Spain Tel: 612-0394 Email: foimatters@gov.tt</td> </tr> </table>	Ms. Charlene Jeffrey-Rodriguez (Designate) Research Officer II (Ag.) Ministry of Public Administration Level 5, National Library Building Corner Hart and Abercromby Streets Port-of-Spain Tel: 623-4724 ext. 32007 Email: foimatters@gov.tt	Ms. Anisha Pooransingh (Alternate) Administrative Officer II (Ag.) Ministry of Public Administration Public Management Consulting Division. Corner Park & St. Vincent Streets Port-of-Spain Tel: 612-0394 Email: foimatters@gov.tt	
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PUBLIC STATEMENT 2023 OF THE MINISTRY OF PUBLIC ADMINISTRATION—CONTINUED

<p>Section 9 (1) (d) A report or a statement containing the advice or recommendations of a committee established within the MPA to submit a report, provide advice or make recommendations to the responsible Minister of MPA or to another officer of the MPA who is not a member of the committee.</p> <p>There are no statements to be published under this subsection at this time.</p>	<p>There are no statements to be published under this subsection at this time.</p>
<p>Section 9 (1) (e) A report (including a report concerning the results of studies, surveys or tests) prepared for the MPA by a scientific or technical expert, whether employed within the MPA or not, including a report expressing the opinion of such an expert on scientific or technical matters.</p> <p>There are no reports to be published under this subsection at this time.</p>	<p>Section 9 (1) (m) A valuation report prepared for the MPA by a valuator, whether or not the valuator is an officer of the MPA:</p> <ul style="list-style-type: none"> • Valuation reports on state owned properties • Valuation reports on privately owned properties rented by the State. <p>As at December 2023 Published July 2024</p>
<p>Section 9 (1) (f) A report prepared for the MPA by a consultant who was paid for preparing the report.</p> <p>There are no reports to be published under this subsection at this time.</p>	
<p>Section 9 (1) (g) A report prepared within the MPA and containing the results of studies, surveys or tests carried out for the purpose of assessing, or making recommendations on, the feasibility of establishing a new or proposed Government policy, programme or project.</p> <p>There are no reports to be published under this subsection at this time.</p>	
<p>Section 9 (1) (h) A report on the performance or efficiency of the MPA, or of an office, division or branch of the MPA, whether the report is of a general nature or concerns a particular policy, programme or project administered by the MPA.</p> <p>There are no reports to be published under this subsection at this time.</p>	
<p>Section 9 (1) (i) A report containing final plans or proposals for the re-organization of the functions of the MPA, the establishment of a new policy, programme or project to be administered by the MPA, or the alteration of an existing policy, programme or project administered by the MPA, whether or not the plans or proposals are subject to approval by an officer of the MPA or Cabinet.</p> <p>Strategic Plan of the Ministry of Public Administration FY 2022- 2025.</p>	
<p>Section 9 (1) (j) A statement prepared within the MPA and containing policy directions for the drafting of legislation.</p> <p>There are no statements to be published under this subsection at this time.</p>	
<p>Section 9 (1) (k) A report of a test carried out within the MPA on a product for the purpose of purchasing equipment.</p> <p>There are no reports to be published under this subsection at this time.</p>	
<p>Section 9 (1) (l) An environmental impact statement prepared within the MPA.</p>	

**RE: SWISSPORT TRANSIT SHED PUBLIC AUCTION 2024
SALE BY PUBLIC AUCTION AT SWISSPORT TRANSIT SHED, PIARCO**

In accordance with the provisions of section 95(2) of the Customs Act Chapter 78:01, the under mentioned goods will be sold by auction during 0800-1800 on 14-16th of September 2024 at Swissport Transit Shed, Piarco unless they are entered for delivery or warehoused. Prior to sale, Cargo can be viewed on 13th September 2024 from 0900am to 1600pm at Swissport Transit Shed.

Lot #	Pieces	Consignee
1	ONE (1) PALLET	VARIOUS
2	ONE (1) PALLET	VARIOUS
3	ONE (1) PALLET	TRUDEE HOYTE
4	ONE (1) PALLET	UNKNOWN
5	ONE (1) PALLET	UNKNOWN
6	ONE (1) PALLET	VARIOUS
7	ONE (1) PALLET	VARIOUS
8	ONE (1) PALLET	VARIOUS
9	ONE (1) PALLET	WHAN TONG AGENCY
10	ONE (1) PALLET	MICHELLE BERMENT
11	ONE (1) PALLET	VARIOUS
12	ONE (1) PALLET	VARIOUS
13	ONE (1) PALLET	VARIOUS
14	ONE (1) PALLET	VARIOUS
15	ONE (1) PALLET	VARIOUS
16	ONE (1) PALLET	UNKNOWN
17	ONE (1) PALLET	VARIOUS
18	ONE (1) PALLET	VARIOUS
19	ONE (1) PALLET	VARIOUS
20	ONE (1) PALLET	ASTASIA MAHABIR
21	ONE (1) PALLET	GLOBAL SANTA FE INT. DRILLING CORP
22	ONE (1) PALLET	VARIOUS
23	ONE (1) PALLET	CRYSTAL ABIGAIL GEORGE
24	ONE (1) PALLET	VARIOUS
25	ONE (1) PALLET	VARIOUS
26	ONE (1) PALLET	MARCELLA KALPEE
27	ONE (1) PALLET	VARIOUS
28	ONE (1) PALLET	VARIOUS
29	ONE (1) PALLET	NADINE KANHAI
30	ONE (1) PALLET	VARIOUS
31	ONE (1) PALLET	NATIONAL INFRASTRUCTURE
32	ONE (1) PALLET	COPA AIRLINES
33	ONE (1) PALLET	COPA AIRLINES
34	ONE (1) PALLET	VARIOUS
35	ONE (1) PALLET	UNKNOWN
36	ONE (1) PALLET	VARIOUS
37	ONE (1) PALLET	VARIOUS
38	ONE (1) PALLET	UNKNOWN
39	ONE (1) PALLET	VARIOUS
40	ONE (1) PALLET	VARIOUS
41	ONE (1) PALLET	VARIOUS

1042—Continued

RE: SWISSPORT TRANSIT SHED PUBLIC AUCTION 2024
 SALE BY PUBLIC AUCTION AT SWISSPORT TRANSIT SHED, PIARCO—CONTINUED

42	ONE (1) PALLET	UNKNOWN
43	ONE (1) PALLET	UNKNOWN
44	ONE (1) PALLET	UNKNOWN
45	ONE (1) PALLET	VARIOUS
46	ONE (1) PALLET	UNKNOWN
47	ONE (1) PALLET	UNKNOWN
48	ONE (1) PALLET	ZENITH
49	ONE (1) PALLET	UNKNOWN
50	ONE (1) PALLET	VARIOUS
51	ONE (1) PALLET	VARIOUS
52	ONE (1) PALLET	UNKNOWN
53	ONE (1) PALLET	JOEY KISSOON
54	ONE (1) PALLET	VARIOUS
55	ONE (1) PALLET	VARIOUS
56	ONE (1) PALLET	UNKNOWN
57	ONE (1) PALLET	UNKNOWN
58	ONE (1) PALLET	UNKNOWN
59	ONE (1) PALLET	WHAN TONG AGENCY
60	ONE (1) PALLET	SIRENA
61	ONE (1) PALLET	SCOTIABANK
62	ONE (1) PALLET	BLUE WATERS INN
63	ONE (1) PALLET	VARIOUS
64	ONE (1) PALLET	UNKNOWN
65	ONE (1) PALLET	VARIOUS
66	ONE (1) PALLET	VARIOUS
67	ONE (1) PALLET	VARIOUS
68	ONE (1) PALLET	VARIOUS
69	TWO (2) PALLETS	UNKNOWN
70	ONE (1) PALLET	MARWAN YOUSEF
71	ONE (1) PALLET	RAMDEEN GOSINE
72	ONE (1) PALLET	VARIOUS
73	ONE (1) PALLET	KUIPERAS CARIBBEAN LTD
74	ONE (1) PALLET	VARIOUS
75	ONE (1) PALLET	VARIOUS
76	ONE (1) PALLET	RANGE RESOURCES DRILLING SERV.
77	ONE (1) PALLET	VARIOUS
78	ONE (1) PALLET	VARIOUS
79	ONE (1) PALLET	VARIOUS
80	ONE (1) PALLET	VARIOUS
81	ONE (1) PALLET	ANTHONY CHOW
82	ONE (1) PALLET	UNKNOWN
83	ONE (1) PALLET	ANTHONY CHOW
84	ONE (1) PALLET	ANTHONY CHOW
85	ONE (1) PALLET	SHANTY RATTAN
86	ONE (1) PALLET	JOANNE CHARLES
87	ONE (1) PALLET	ANTHONY CHOW
88	ONE (1) PALLET	ANTHONY CHOW
89	ONE (1) PALLET	ANTHONY CHOW
90	ONE (1) PALLET	UNKNOWN

1042—Continued

RE: SWISSPORT TRANSIT SHED PUBLIC AUCTION 2024
 SALE BY PUBLIC AUCTION AT SWISSPORT TRANSIT SHED, PIARCO—CONTINUED

91	ONE (1) PALLET	UNKNOWN
92	ONE (1) PALLET	UNKNOWN
93	ONE (1) PALLET	NESTLE TRINIDAD
94	ONE (1) PALLET	UNKNOWN
95	ONE (1) PALLET	UNKNOWN
96	ONE (1) PALLET	UNKNOWN
97	ONE (1) PALLET	UNKNOWN
98	ONE (1) PALLET	VARIOUS
99	ONE (1) PALLET	UNKNOWN
100	ONE (1) PALLET	UNKNOWN
101	ONE (1) PALLET	NATIONAL FLOUR MILLS
102	ONE (1) PALLET	VARIOUS
103	ONE (1) PALLET	VARIOUS
104	ONE (1) PALLET	WIRELESS SOLUTIONS
105	ONE (1) PALLET	KHAN
106	ONE (1) PALLET	NIDCO
107	ONE (1) PALLET	VARIOUS
108	ONE (1) PALLET	CARIBBEAN CHEMICALS
109	ONE (1) PALLET	NELSON JOSE TORRUELLAS
110	ONE (1) PALLET	VARIOUS
111	ONE (1) PALLET	VARIOUS
112	ONE (1) PALLET	INTERFREIGHT LTD
113	ONE (1) PALLET	VARIOUS
114	ONE (1) PALLET	VARIOUS
115	ONE (1) PALLET	ROWAN DRILLING
116	ONE (1) PALLET	VARIOUS
117	ONE (1) PALLET	VARIOUS
118	ONE (1) PALLET	VARIOUS
119	ONE (1) PALLET	ERROL ULERIE
120	ONE (1) PALLET	UNKNOWN
121	ONE (1) PALLET	VARIOUS
122	ONE (1) PALLET	VARIOUS
123	ONE (1) PALLET	ISLAND SHIPPING AGENCY
124	ONE (1) PALLET	TECHNIP FMC
125	ONE (1) PALLET	INTERCONTENTIAL SHIPPING LTD
126	ONE (1) PALLET	VARIOUS
127	ONE (1) PALLET	AMERICAN AIRLINES

1042—Continued

RE: SWISSPORT TRANSIT SHED PUBLIC AUCTION 2024
SALE BY PUBLIC AUCTION AT SWISSPORT TRANSIT SHED, PIARCO—CONTINUED

Description
SHIP SPARES
MACHINE PARTS
CARDBOARD BOXES
RUBBER HOSE & FITTINGS
HEADWEAR
CLOTHING
HOUSEHOLD ITEMS
COMPRESSOR & COMPRESSOR KIT
BATHROOM FIXTURES
HARDWARE ITEMS
MISC HOUSEHOLD ITEMS
MISC HOUSEHOLD ITEMS
MACHINERY PARTS
FURNITURE
MACHINERY PARTS
WORK COVERALLS
BEAUTY SUPPLIES
CLOTHING
ARTICLES OF METAL
CLOTHING
ELECTRICAL PARTS
COSTUME JEWELLERY
HOUSEHOLD APPLIANCE
GENERAL DESCRIPTION STORE MERCHANDISE
ELECTRICAL & MACHINERY PARTS
DRUM SET
MACHINERY PARTS
HOUSEHOLD ITEMS
ARTWORK (FRAMED)
MEDICAL/DENTAL SUPPLIES
AIR FILTERS
AIR FILTERS
AIR FILTERS
MEDICAL DEVICES
FURNITURE
HOUSEHOLD ITEMS
CIRCUIT BOARDS
LAPTOPS & ACCESSORIES
MACHINERY PARTS
BOOKS
HOUSEHOLD & CLOTHING

1042—Continued

RE: SWISSPORT TRANSIT SHED PUBLIC AUCTION 2024
SALE BY PUBLIC AUCTION AT SWISSPORT TRANSIT SHED, PIARCO—CONTINUED

WASHING MACHINE
PUMP & OILS
CLOTHING
CLOTHING
CLOTHING
CLOTHING
CLOTHING
CLOTHING
APPLIANCE PARTS
HYDRAULIC FUEL & OILS
HOUSEHOLD ITEMS
BATHROOM FIXTURES
MACHINERY & AUTO PARTS
CLOTHING
ARTICLES OF ALUMINUM
CLOTHING
CLOTHING
BATHROOM FIXTURES
CLOTHING
LAPTOPS
OUTDOOR RUBBER MATS
GENERAL DESCRIPTION STORE MERCHANDISE
CLOTHING
MACHINERY PARTS
CLOTHING & STATIONERY
CLOTHING & FURNITURE
SHIP SPARES
FILTERING EQUIPMENT & APPLIANCE PARTS
CLOTHING
HOUSEHOLD ITEMS
HOUSEHOLD ITEMS
LIGHTING FIXTURES
AUTO PARTS
CLOTHING
GAS FLOW METER
BOOKS
APPLIANCE PARTS
AUTO PARTS
AUTO PARTS
REFRIGERATOR
REFRIGERATOR
REFRIGERATOR
STOVE
DRYER
REFRIGERATOR
REFRIGERATOR
REFRIGERATOR
REFRIGERATOR
WASHING MACHINE

1042—Continued

RE: SWISSPORT TRANSIT SHED PUBLIC AUCTION 2024
SALE BY PUBLIC AUCTION AT SWISSPORT TRANSIT SHED, PIARCO—CONTINUED

DRYER
CLEANING PRODUCTS
PUMP
OIL PURIFIER
CLOTHING
UPHOLSTERY ROLLS
CLOTHING
AUTO PARTS
CERAMIC POTS
COMPRESSOR TANK
MACHINE OIL
COMPUTER PARTS
CLOTHING & HOUSEHOLD ITEMS
ELECTRICAL FITTINGS
HOUSEHOLD ITEMS
ACTUATOR
SHIP SPARES
CHILLER UNIT
HOUSEHOLD ITEMS
AUTO PARTS
SHIP SPARES
CANVAS CLOTH
HOUSEHOLD ITEMS
COMPUTER PARTS
SHIP SPARES
CLOTHING & HOUSEHOLD ITEMS
CLOTHING & ACCESSORIES
CLOTHING
WHEELCHAIRS
HARDWARE ITEMS
HOUSEHOLD ITEMS
AUTO PARTS
MOTOR
PUMP
COMMERCIAL OVEN
MACHINERY PARTS
AIRCRAFT PARTS

1043

RE: PUBLIC AUCTION SALE #157
Port Authority of Trinidad and Tobago
Port of Spain

The Port Authority of Trinidad and Tobago wishes to advise that in accordance with Section 3 of the Disposal of Uncleared Goods Act No. 46 of 1978, Auctioneer Gary Gregoire has been instructed to sell, by Public Auction, Uncleared Cargo stored at the Port of Port of Spain Facility.

Please note the date of Auction is scheduled for **Thursday 26th September 2024 at 9:00 a.m.** and the conditions of the sale are as follows:

- 1) All lots will be sold subject to an upset price which will be announced on the day of the sale.
- 2) All lots will be sold on an “**as is, where is**” basis.
- 3) Notwithstanding any law to the contrary, a person who purchases cargo at this Auction, shall be entitled to take delivery upon payment of:
 - a. The purchase price payable to the Port Authority of Trinidad and Tobago.
 - b. Customs Duty plus VAT shall be payable to the Customs & Excise Division at Customs House.
 - c. Any taxes or duties chargeable under any law other than duty imposed under the Customs Act, calculated by reference to the purchase price.
- 4) Payment must be made to the Port Authority of Trinidad and Tobago on the fall of the hammer, either by cash or certified cheque. Debit/ Credit Cards will be accepted.
- 5) Persons failing to comply with condition (4) above will be debarred from further participating in Auction Sales held by the Port Authority of Trinidad and Tobago.
- 6) All auctioned cargo must be removed from the Authority's Compound by the respective buyer/s **within seven (7) working days** subsequent to the Auction Sale. Failing which, Store Rent will be calculated, in accordance with the Tariff of the Port Authority of Trinidad and Tobago.
- 7) Items sold, but not claimed and removed by the respective buyers as per condition (6) above, shall be resubmitted for sale by the Port Authority at the next Auction Sale.
- 8) Employees and their immediate families are ineligible to participate in the Auction Sale.

Interested persons shall be allowed to view the cargo enlisted by **pictures only** on **Wednesday 25th September 2024** between the hours of **9:00a.m. - 12:00p.m and 1:00p.m. -3:00p.m.** after making appropriate arrangements with the Auction Clerk- Mrs. Carron Crichlow-Miller, who can be contacted at 623-2901-5 Ext. 150.

Attached for reference is the list of **one hundred and twenty-seven (127) lots** which have been approved for sale.

Yours faithfully,

Port Authority of Trinidad and Tobago



Ms. Patricia Persad
Divisional Manager, Operations

1043—Continued

PORT AUTHORITY OF TRINIDAD AND TOBAGO
PUBLIC AUCTION SALE #157
UNCLEARED GENERAL CARGO STORED IN EXCESS OF 180 DAYS
AS AT 14/07/2024

LOT #	MARKS/NOS	QUANTITY	ITEM	LOT #	MARKS/NOS	QUANTITY	ITEM
1	JASON GITTENS	1	BARREL	66	JUNIOR ALEXANDER	1	PALLET
2	MALEEVAL NEDD	1	BARREL	67	VERA CHARLES/ AFEISHA HERNANDEZ	2	PIECES
3	CHERYL JOHN	1	BARREL	68	CHILAKA STEELE	1	COMMERCIAL BIN
4	NAZLITT WILLIAMS	1	BARREL	69	IFE GULSTON	1	SKID
5	RHONDA PLACIDE	1	BARREL	70	JOY DERRY	1	BOX
6	JOEL ADAMS	1	BARREL	71	ORDAIN HUNTE	1	CRATE
7	KESTER SAMUEL	1	BUNDLE	72	YOLANDE LEWIS	1	BUNDLE
8	MALEEVAL NEDD	1	BARREL	73	BERTH THOMAS	1	COMMERCIAL BIN
9	NIGEL WOODS	1	BARREL	74	BERTH THOMAS	1	PIECE
10	MALEEVAL NEDD	1	BARREL	75	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
11	CARLOS GONZALES	1	BARREL	76	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
12	NICHOL ALVES	1	BARREL	77	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
13	NICHOL ALVES	1	BARREL	78	ALTHEA THEODORE	1	BOX
14	NICHOL ALVES	1	BARREL	79	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
15	SEEMA BHARAT	1	BARREL	80	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
16	KATHY ANN MARCANO	1	BARREL	81	YOLANDE LEWIS	1	COMMERCIAL BIN
17	MARILYN WATCH	1	BARREL	82	YOLANDE LEWIS	1	COMMERCIAL BIN
18	KATHY ANN MARCANO	1	BARREL	83	DAYESANIS SAMAROO	1	BOX
19	CHERRY LUCA GOW	1	BARREL	84	BEVERLY POWELL-JOHN	1	PIECE
20	DENISE KING BARCLAY	1	BARREL	85	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
21	YOLANDE LEWIS	1	BARREL	86	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
22	MICHAEL PLOWDEN	1	BARREL	87	LYNETTE BEDLOW	1	BUNDLE
23	DIONNE JOHN	1	BARREL	88	DIXIE ANN BURNETT	1	SKID
24	CHRISTIAN RAMPERSAD	1	BARREL	89	DIXIE ANN BURNETT	1	SKID
25	ROLAND A KERWIN ATWELL	1	BARREL	90	DIXIE ANN BURNETT	1	SKID
26	DAVID UOMA	1	BARREL	91	CLINT LAZAR	1	BUNDLE
27	MALEEVAL NEDD	1	BARREL	92	CLINT LAZAR	1	BUNDLE
28	DAVID UOMA	1	BARREL	93	ALTHEA THEODORE	1	BOX
29	DAVID UOMA	1	BARREL	94	CHARLENE FIGUERO	1	BOX
30	JOEL ADAMS	1	BARREL	95	SABIR KHAN	1	BOX
31	MIGUEL KISTOW	1	BARREL	96	RISHI RAMDHANI	1	BOX
32	SANATAN DHARMA MAHA SABHA C/O VIJAY MAHARAJ	1	BARREL	97	BERTH THOMAS	1	BUNDLE
33	SEAN MARSHALL	1	BARREL	98	LYNETTE BEDLOW	1	PIECE
34	YOLANDE LEWIS	1	BARREL	99	LYNETTE BEDLOW	1	PIECE
35	SANATAN DHARMA MAHA SABHA C/O VIJAY MAHARAJ	1	BARREL	100	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
36	YOLANDE LEWIS	1	BARREL	101	YOLANDE LEWIS	1	COMMERCIAL BIN
37	TENNILLE SEETAL	1	BARREL	102	JOEL ADAMS	1	COMMERCIAL BIN
38	JACINTA ETHELREDA HARRIS	1	BARREL	103	ANGEL SYLVESTER	1	BOX
39	JACINTA ETHELREDA HARRIS	1	BARREL	104	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
40	JACINTA ETHELREDA HARRIS	1	BARREL	105	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
41	JACINTA ETHELREDA HARRIS	1	BARREL	106	ALICIA RODRIGUEZ	1	BUNDLE
42	NADAIA RAMNAUTH	1	BARREL	107	ORDAIN HUNTE	1	PIECE
43	KEZEL JACKSON	1	BARREL	108	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
44	SANATAN DHARMA MAHA SABHA C/O VIJAY MAHARAJ	1	BARREL	109	CLINT LAZAR	1	PIECE
45	MAUREEN VINCENT	1	BARREL	110	ORDAIN HUNTE	1	BOX
46	MICHEAL CHARLES	1	BARREL	111	JEAN REYES	1	SKID
47	DAVID MC MILLAN	1	BAG	112	NEIL ANTHONY MC FARLANE	1	COMMERCIAL BIN
48	ALTHEA THEODORE	1	BOX	113	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE
49	ALICIA RODRIGUEZ	1	SKID	114	BEVERLY POWELL-JOHN	1	PIECE
50	ALICIA RODRIGUEZ	1	SKID	115	YOLANDE LEWIS	1	COMMERCIAL BIN
51	ALICIA RODRIGUEZ	1	SKID	116	YOLANDE LEWIS	1	COMMERCIAL BIN
52	ALICIA RODRIGUEZ	1	SKID	117	RICARDO MANSWELL	1	BUNDLE
53	ALICIA RODRIGUEZ	1	SKID	118	RICARDO MANSWELL	1	BOX
54	ALICIA RODRIGUEZ	1	SKID	119	LABELL HAMILTON	1	BUNDLE
55	DIXIE ANN BURNETT	1	SKID	120	VERA CHARLES/ AFEISHA HERNANDEZ	1	CRATE
56	ALICIA RODRIGUEZ	1	BUNDLE	121	LABEL/ LANNEL HAMILTON	1	CRATE
57	GARVIN SIMON	1	COMMERCIAL BIN	122	JEAN REYES	1	SKID
58	ORDAIN HUNTE	1	PIECE	123	ORDAIN HUNTE	1	COMMERCIAL BIN
59	ALICIA RODRIGUEZ	1	SKID	124	GARVIN SIMON	1	BUNDLE
60	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE	125	MICHAEL PLOWDEN	1	BUNDLE
61	VERA CHARLES/ AFEISHA HERNANDEZ	1	PIECE	126	CLINT LAZAR	1	PIECE
62	SUSAN SAMUEL ANDERSON	1	BOX	127	DENISE MOSES	1	PIECE
63	KYRA JOSEPH	1	BUNDLE				
64	ORDAIN HUNTE	1	PIECE				
65	BRIGID WINTER	1	PACKAGE				